

# Particle physics-cosmology connections

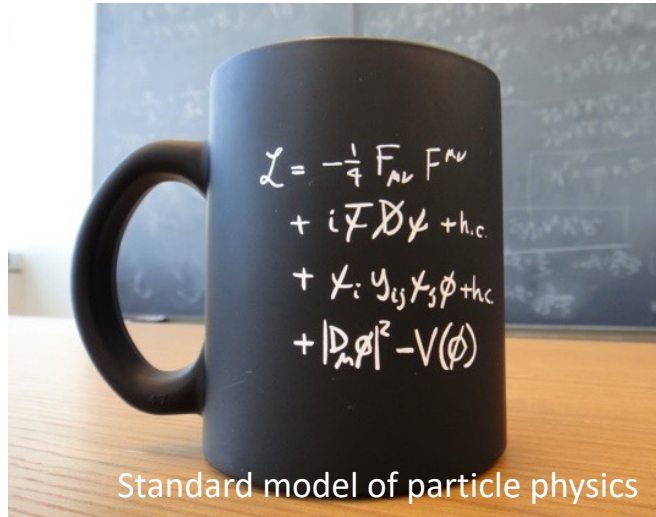
Yvonne Y. Y. Wong

Sydney Consortium for Particle Physics and Cosmology  
UNSW Sydney

Cosmology from home, July 4 – 15, 2022

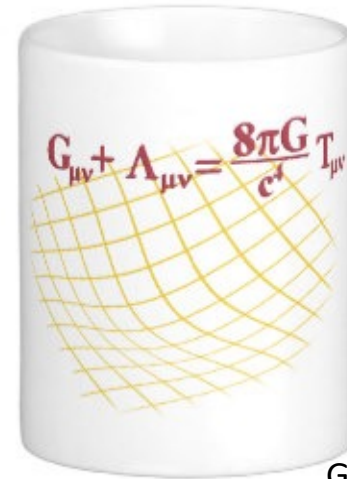
# An unlikely partnership?

**Particle physics** = interactions of fundamental building blocks of nature on the smallest scales



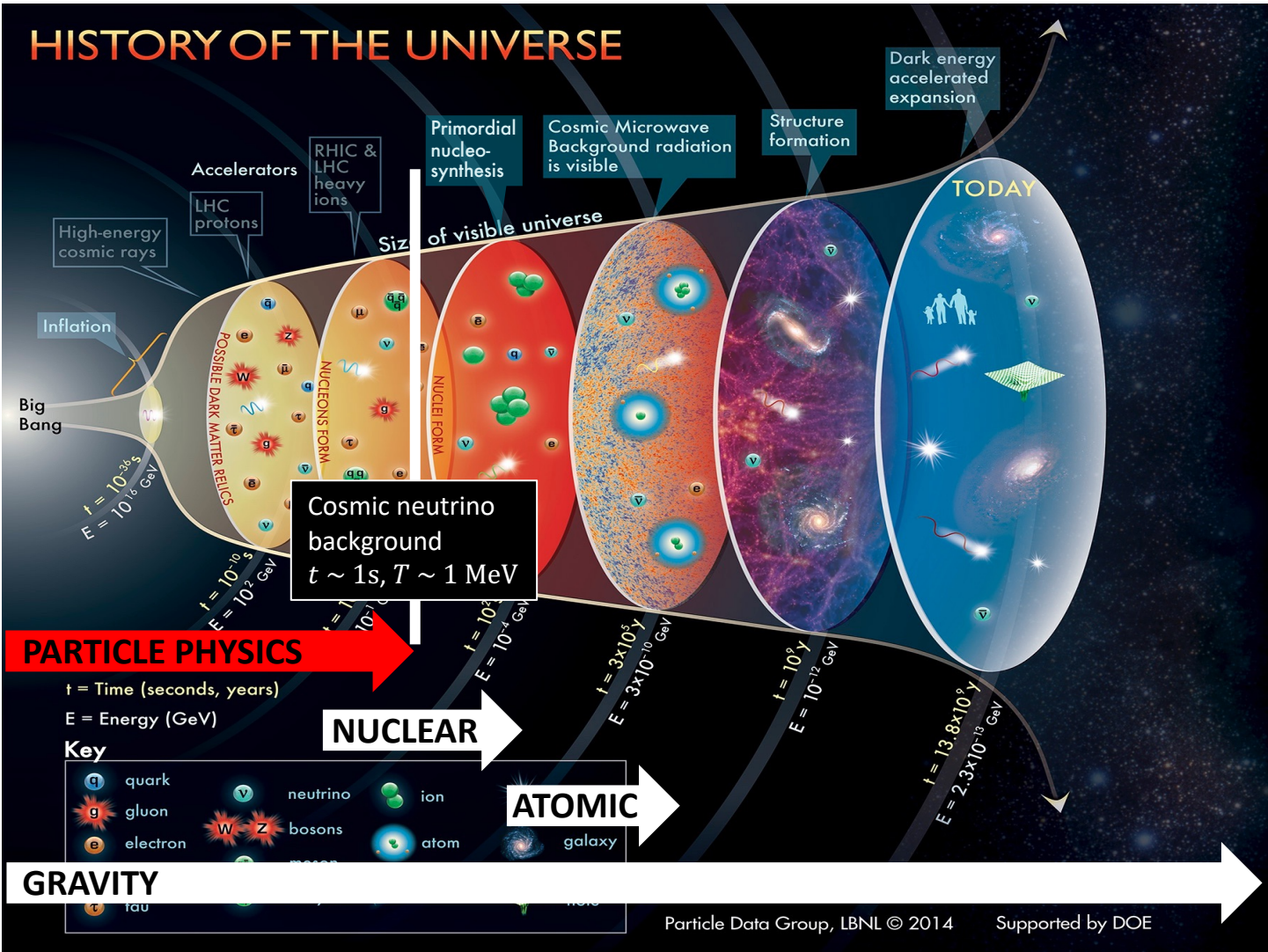
Standard model of particle physics

**Cosmology** = gravitation on the largest observable scales



General relativity

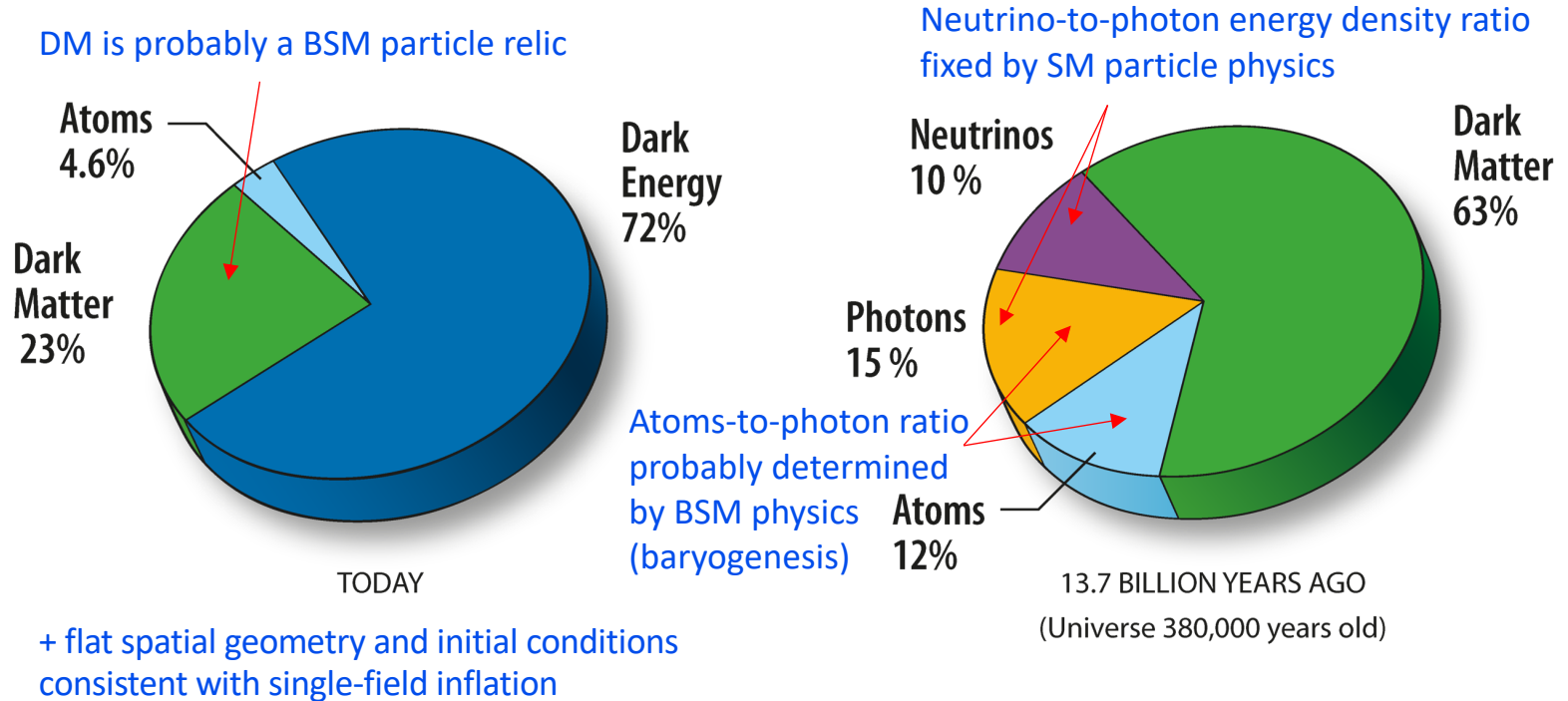
# HISTORY OF THE UNIVERSE



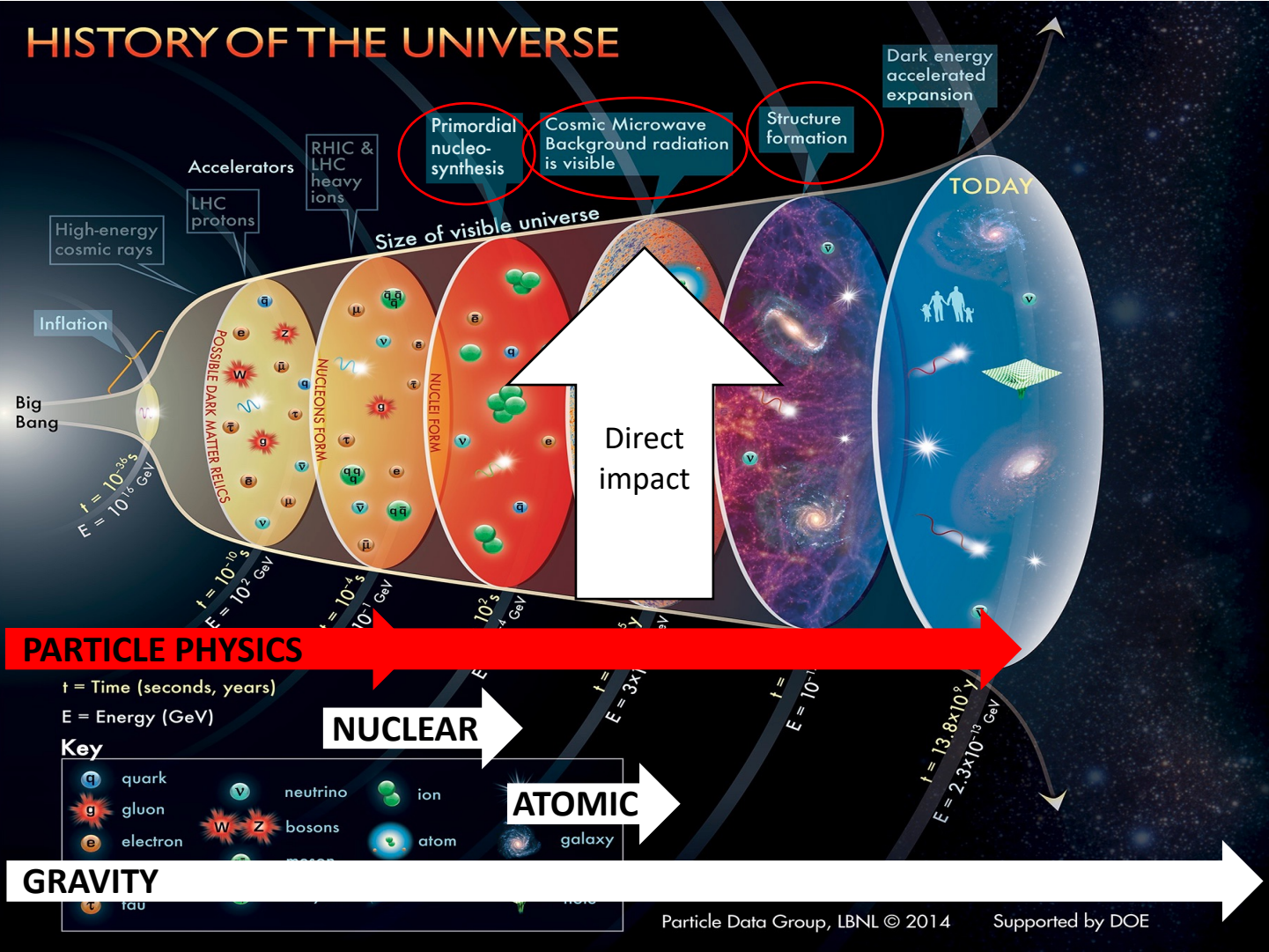
# Concordance $\Lambda$ CDM...

SM = Standard Model of particle physics  
BSM = Beyond the Standard Model

The **simplest** model consistent with most observations.



# HISTORY OF THE UNIVERSE



# Plan...

- **Particle physics in the very early universe**
  - Light thermal relics and  $N_{\text{eff}}$
- **Particle physics in the not-so-early universe**
  - CMB constraints on the invisible neutrino decay and the neutrino lifetime

There are **many more topics** under these categories: neutrino-dark matter interaction, dark matter annihilation and decay, neutrino self-interaction, etc.

- I only picked these because I happen to have thought about them lately. But **complementarity with terrestrial searches** is also a motivating factor.

# I. Light thermal relics and $N_{\text{eff}}...$

# What's a light thermal relic...

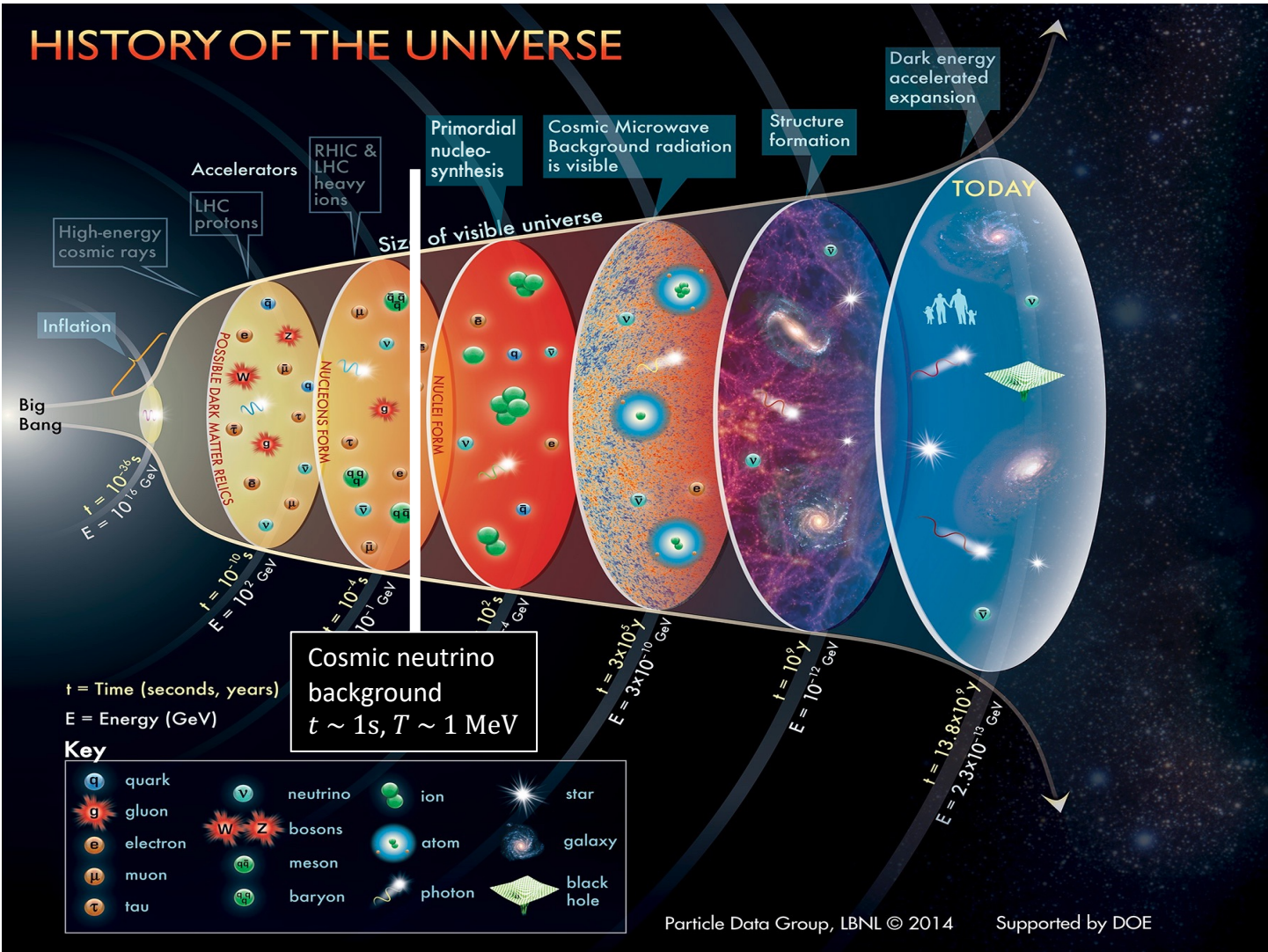
Any **light** ( $\sim$ sub-eV mass), **feebly-interacting** particle species produced via number-changing inelastic **scattering processes** with the Standard Model bath in the early universe.

- **Scattering** = relic inherits temperature of the SM bath (or thereabouts)
- **Feebly-interacting** = production stops at  $t \lesssim 1$ s, comoving number density freezes
- **Sub-eV masses** = behaves like radiation when production stops, and will not subsequently overclose the universe

The prime Standard Model example of a light thermal relic is the **cosmic neutrino background**.



# HISTORY OF THE UNIVERSE

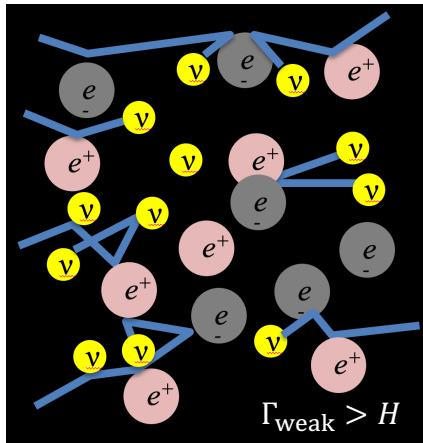


# Formation of the CνB...

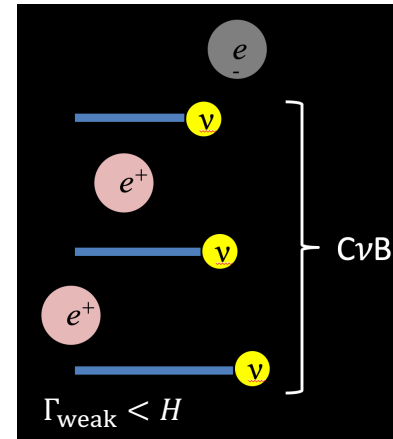
Interaction rate:  $\Gamma_{\text{weak}} \sim G_F^2 T^5$

Expansion rate:  $H \sim M_{\text{pl}}^{-2} T^2$

The CνB is formed when neutrinos **decouple** from the cosmic plasma.



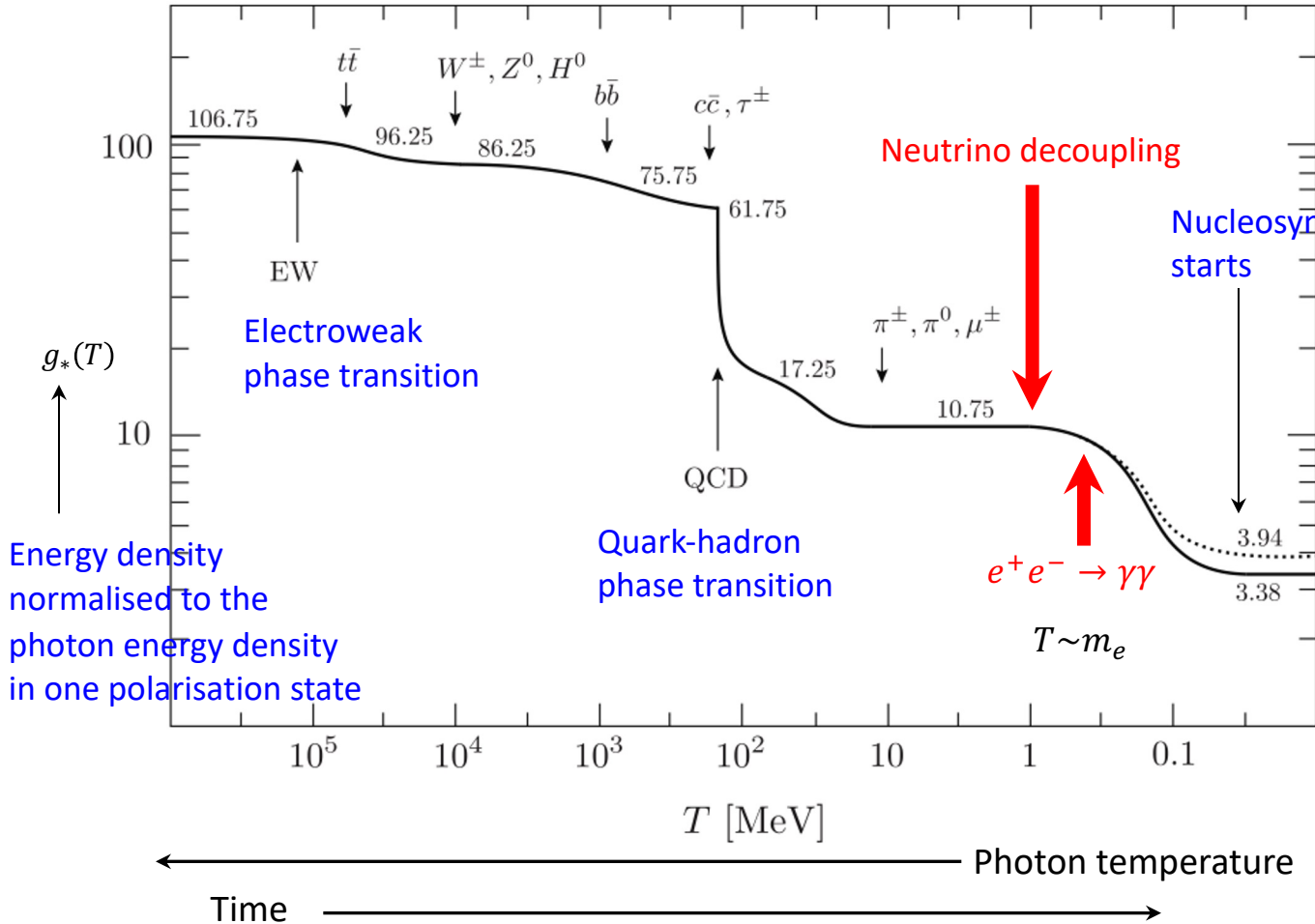
**Above  $T \sim 1$  MeV**, even the Weak Interaction occurs efficiently enough to allow neutrinos to scatter off  $e^+e^-$  and other neutrinos, and attain **thermodynamic equilibrium**.



Neutrinos  
“free-stream”  
to infinity.

**Below  $T \sim 1$  MeV**, expansion dilutes plasma, and reduces interaction rate: the universe becomes **transparent to neutrinos**.

# $g_*$ for the Standard Model of particle physics:



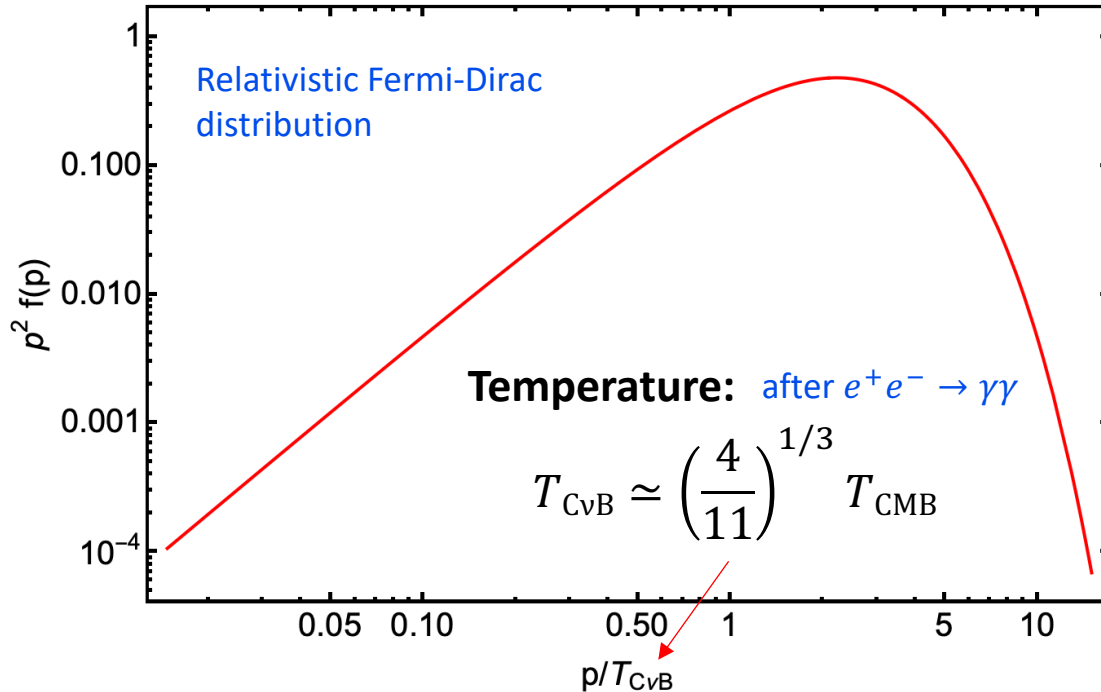
What's left?

- Mainly
- Photons
  - Neutrinos
- Small numbers\* of
- Electrons
  - Nucleons
  - Nuclei

\* Small means  $< 10^{-9}n_\gamma$

# The cosmic neutrino background...

Standard model predictions



Neutrino (hot) dark matter;  
basis for cosmological neutrino mass bounds

**Number density:** Per family of neutrinos +antineutrinos

$$n_{\text{CvB}} \approx 110 \text{ cm}^{-3}$$

**Energy density:** Per family

- Relativistic (if  $T_{\text{CvB}} \gg m_\nu$ ):

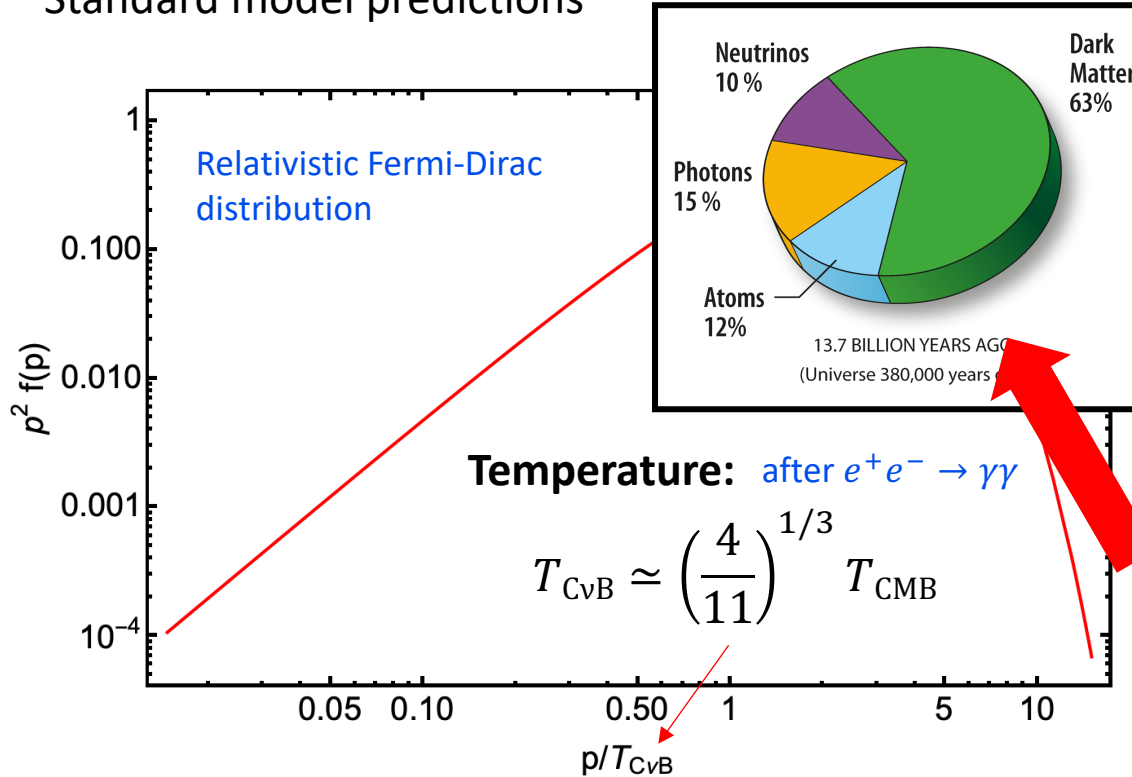
$$\rho_{\text{CvB}} \approx \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_{\text{CMB}} \approx 0.227 \rho_{\text{CMB}}$$

- Non-rel (if  $T_{\text{CvB}} \ll m_\nu$ ):

$$\Omega_{\text{CvB}} \approx \frac{m_\nu}{93 h^2 \text{ eV}}$$

# The cosmic neutrino background...

Standard model predictions



**Number density:** Per family of neutrinos +antineutrinos

$$n_{\text{CvB}} \approx 110 \text{ cm}^{-3}$$

**Energy density:** Per family

• Relativistic (if  $T_{\text{CvB}} \gg m_\nu$ ):

$$\rho_{\text{CvB}} \approx \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_{\text{CMB}} \approx 0.227 \rho_{\text{CMB}}$$

Sum over all SM families:

$$\Rightarrow \sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\text{CvB}} \approx 3 \times 0.227 \rho_{\text{CMB}} \approx 0.68 \rho_{\text{CMB}}$$

# Neutrino-to-photon energy density ratio...

In fact, the **Standard Model neutrino-to-photon energy density ratio** can be calculated very precisely.

- Usually goes under the name of **SM effective number of neutrinos**  $N_{\text{eff}}^{\text{SM}}$ :

$$\rho_{\text{CMB}} + \sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\text{C}\nu\text{B}} = \left[ 1 + N_{\text{eff}}^{\text{SM}} \times \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} \right] \rho_{\text{CMB}}$$

$N_{\text{eff}}^{\text{SM}} = 3 + \text{percent-level corrections for}$

- Non-instantaneous neutrino decoupling
- Non-relativistic electron gas across neutrino decoupling
- Finite-temperature QED effects in the photon/electron plasma
- Neutrino flavour oscillations

Energy density in one thermalised species of massless fermions with 2 internal d.o.f. and temperature

$$T = \left( \frac{4}{11} \right)^{1/3} T_{\text{CMB}}$$

# Precision $N_{\text{eff}}^{\text{SM}}$ ...

Bennett, Buldgen, Drewes & Y<sup>3</sup>W 2020;  
Bennett, Buldgen, de Salas, Drewes, Gariazzo, Pastor & Y<sup>3</sup>W 2021;  
Froustey, Pitrou & Volpe, 2020

See also Akita & Yamaguchi 2020; Hansen, Shalgar & Tamborra 2021; Escudero 2020 for related works

The **most precise to-date** computation of the Standard-Model  $N_{\text{eff}}$  :

$$N_{\text{eff}}^{\text{SM}} = 3.0440 \pm 0.0002$$

Standard-model corrections to $N_{\text{eff}}^{\text{SM}}$	Leading-digit contribution
$m_e/T_d$ correction	+0.04
$\mathcal{O}(e^2)$ FTQED correction to the QED EoS	+0.01
Non-instantaneous decoupling+spectral distortion	-0.005
$\mathcal{O}(e^3)$ FTQED correction to the QED EoS	-0.001
Flavour oscillations	+0.0005
Type (a) FTQED corrections to the weak rates	$\lesssim 10^{-4}$

Bennett et al. 2021

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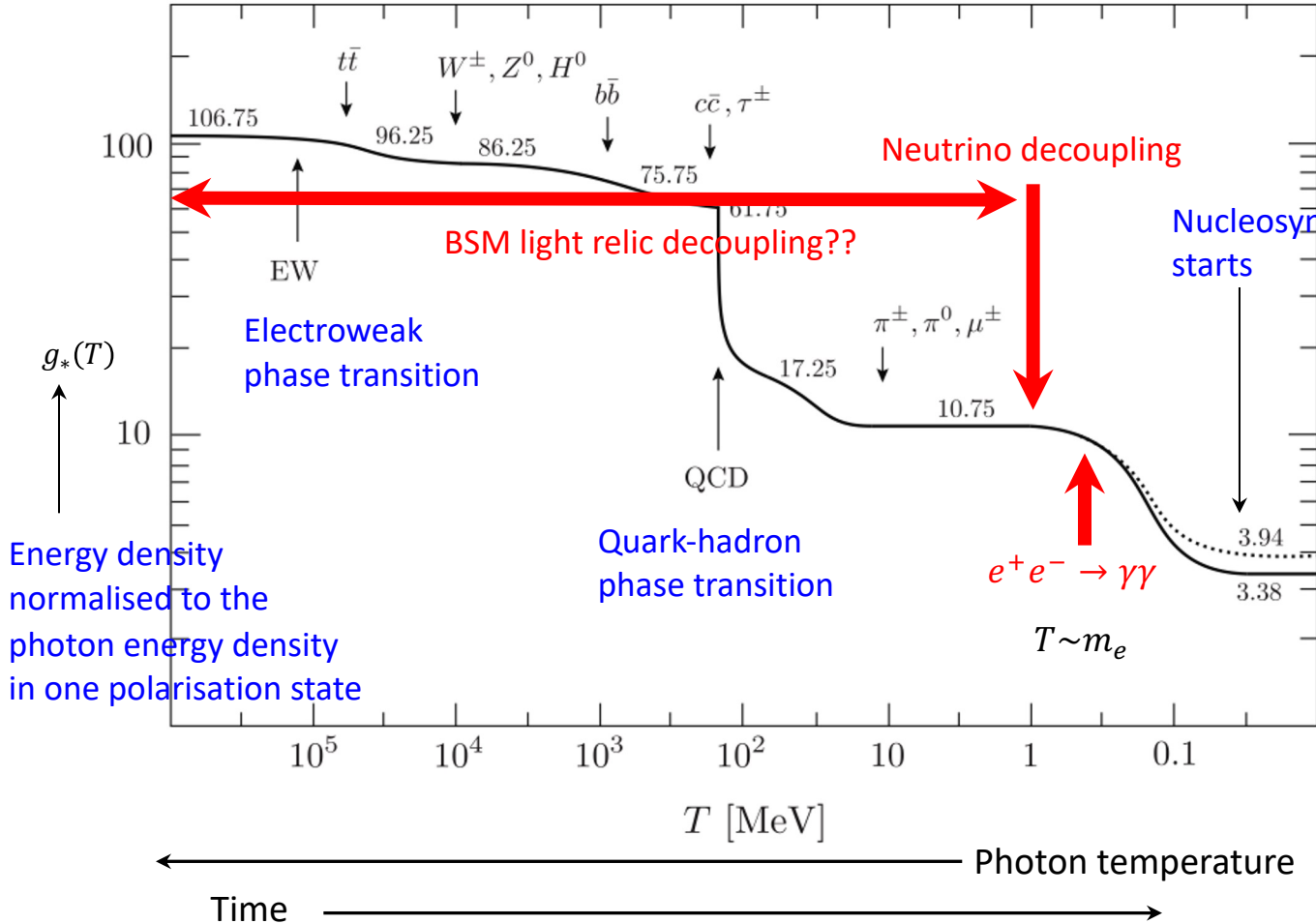
$$N_{\text{eff}}^{\text{SM}} = 3.0440 \pm 0.0002$$

- **Two independent calculations**: same physics but using **independent numerical implementations** by two independent groups
  - Central values agree to **five significant digits**
  - Broadly consistent uncertainty assessment:
    - Half due to numerics, half from experimental uncertainty in the solar neutrino mixing angle
- Already implemented in the stock version of CLASS



Ia. From SM neutrinos to BSM  
light relics...

# $g_*$ for the Standard Model of particle physics:



What's left?

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Small numbers\* of

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# Extending $N_{\text{eff}}$ to light BSM thermal relics...

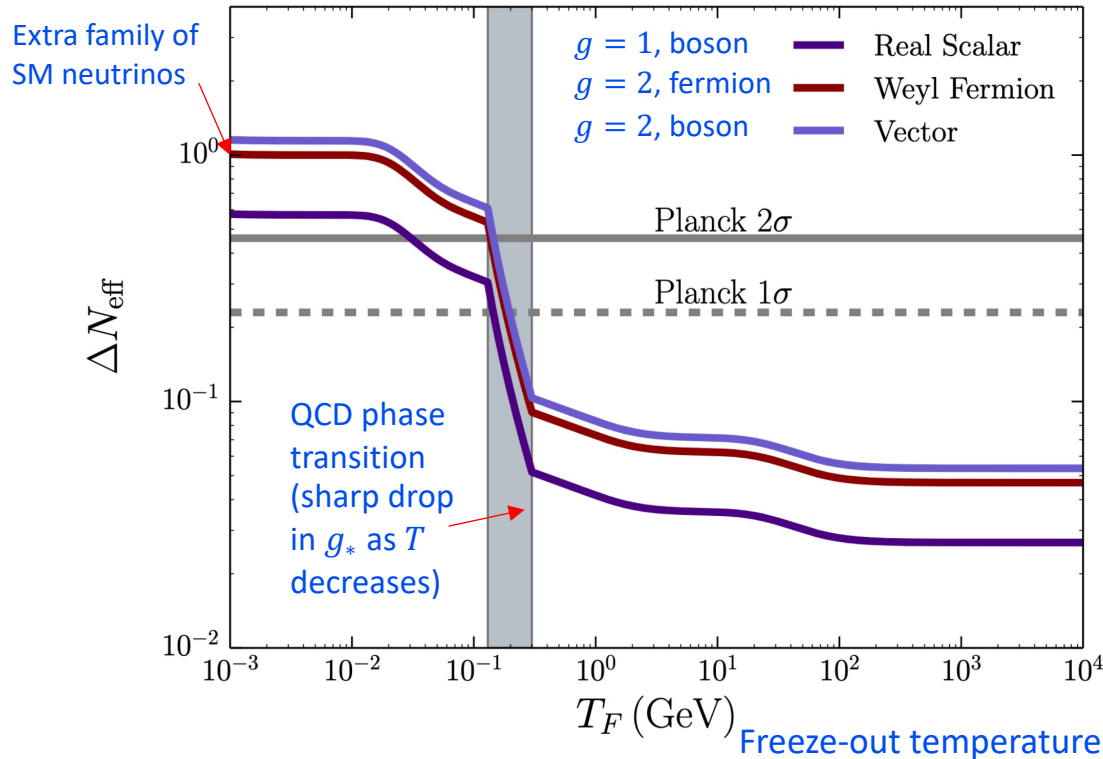
Any **light** ( $\sim$ sub-eV mass), **feebly-interacting** particle species produced by scattering in the early universe will **look sort of like a neutrino** as far as cosmology is concerned.

- E.g., light sterile neutrinos, thermal axions, ...
- At leading order, these **light thermal relics** add to the SM neutrino energy density **as if  $N_{\text{eff}} \gtrsim 3$** .

→ Re-interpret  $N_{\text{eff}}$  as the early-time **non-photon radiation** content:

$$\rho_{\text{CMB}} + \sum_{\nu_e, \nu_\mu, \nu_\tau} \rho_{\text{C}\nu\text{B}} + \rho_{\text{other}} = \left[ 1 + N_{\text{eff}} \times \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} \right] \rho_{\text{CMB}}$$

$$N_{\text{eff}} = N_{\text{eff}}^{\text{SM}} + \Delta N_{\text{eff}}$$

# $\Delta N_{\text{eff}}$ from generic particle freeze-out...



New, light particles that freeze-out while ultra-relativistic will contribute:

$$\Delta N_{\text{eff}} = \frac{g}{2} \left( \frac{43/4}{g_*(T_F)} \right)^{4/3} \quad \text{Fermion}$$

Internal d.o.f.

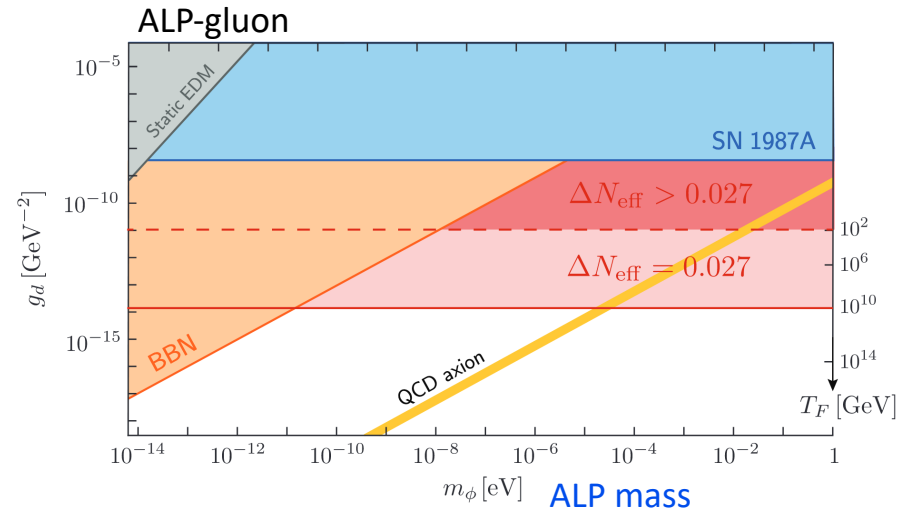
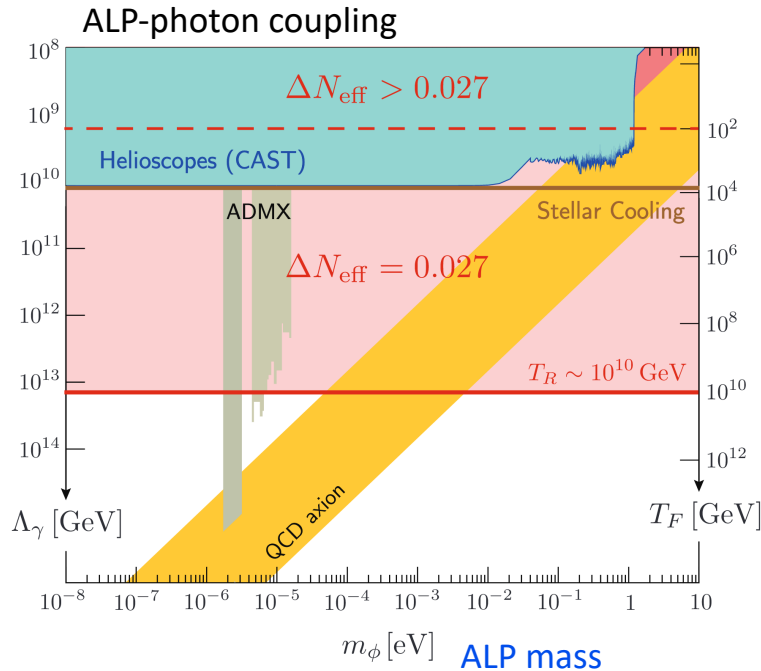
$$\Delta N_{\text{eff}} = \frac{4g}{7} \left( \frac{43/4}{g_*(T_F)} \right)^{4/3} \quad \text{Boson}$$

- Exactly analogous to neutrino decoupling, except for different quantum statistics,  $g$  and  $g_*(T_F)$ .

# Some examples of BSM $\Delta N_{\text{eff}}$ :

1/2

## Thermal axion-like particles (ALPs) from freeze-out.



Baumann, Green & Wallisch 2016

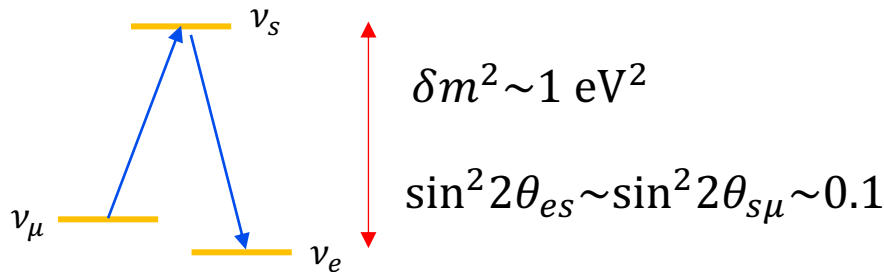
Also QCD axions in Giarè et al, 2022;  
D'Eramo et al. 2021, 2022; etc.

# Some examples of BSM $\Delta N_{\text{eff}}...$

2/2

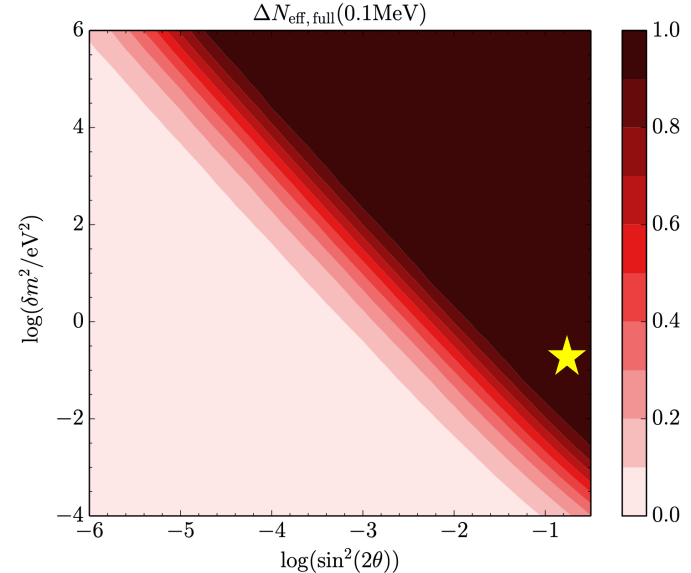
**Light sterile neutrinos:** solution to the short-baseline anomalies in neutrino oscillation experiments (LSND, MiniBooNE and reactor).

- Solution requires effective oscillation parameters:



→  $\Delta N_{\text{eff}} \sim 1$  looks inevitable...

- Parameter space will be further tested by the Fermilab Short Baseline Neutrino program.



Hannestad, Hansen, Tram & Wong 2015  
Also Gariazzo, de Salas & Pastor 2019; Hagstotz et al. 2020; Hannestad, Hansen & Tram 2013; etc.

# Nucleosynthesis & $N_{\text{eff}}$ ...

Constraining  $N_{\text{eff}}$  with the **primordial elemental abundances** has a long history.

Volume 66B, number 2

PHYSICS LETTERS

17 January 1977

## COSMOLOGICAL LIMITS TO THE NUMBER OF MASSIVE LEPTONS

Gary STEIGMAN

*National Radio Astronomy Observatory<sup>1</sup> and Yale University<sup>2</sup>, USA*

David N. SCHRAMM

*University of Chicago, Enrico Fermi Institute (LASR), 933 E 56th, Chicago, Ill. 60637, USA*

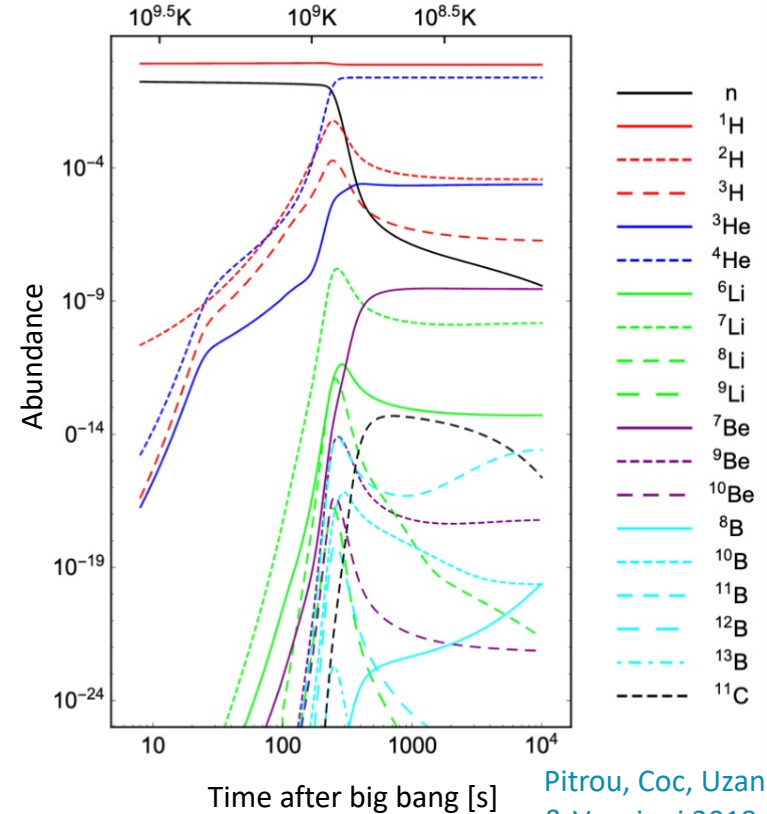
James E. GUNN

*University of Chicago and California Institute of Technology<sup>2</sup>, USA*

Received 29 November 1976

If massive leptons exist, their associated neutrinos would have been copiously produced in the early stages of the hot, big bang cosmology. These neutrinos would have contributed to the total energy density and would have had the effect of speeding up the expansion of the universe. The effect of the speed-up on primordial nucleosynthesis is to produce a higher abundance of  $^4\text{He}$ . It is shown that observational limits to the primordial abundance of  $^4\text{He}$  lead to the constraint that the total number of types of heavy lepton must be less than or equal to 5.

$$N_{\text{eff}} < 5$$



How much of these elements is produced depends on how fast the universe expands.

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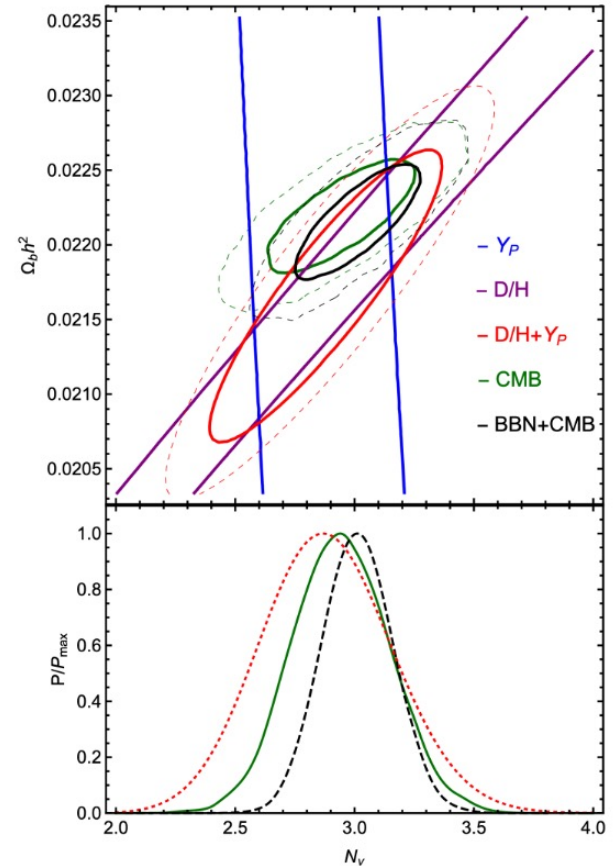
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$$N_{\text{eff}} < 5$$



$$N_{\text{eff}} = 2.88 \pm 0.27 \text{ (68\% CL)}$$

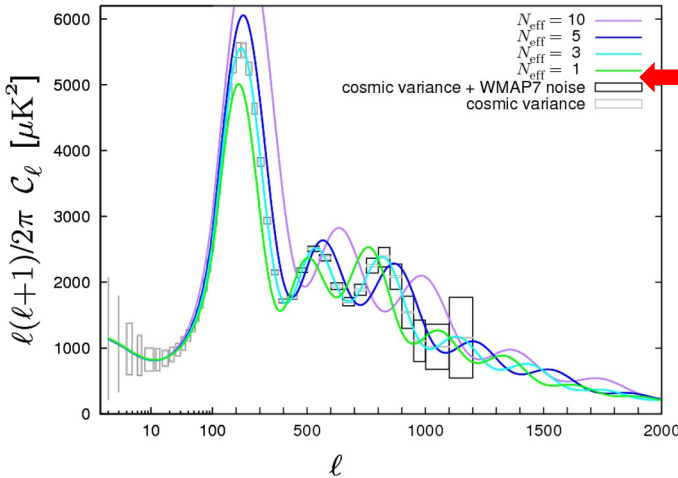
Pitrou, Coc, Uzan & Vangioni 2018



# CMB anisotropies & $N_{\text{eff}}$ ...

$N_{\text{eff}}$  also affects the expansion rate at recombination.

- Observable in the **CMB temperature** power spectrum



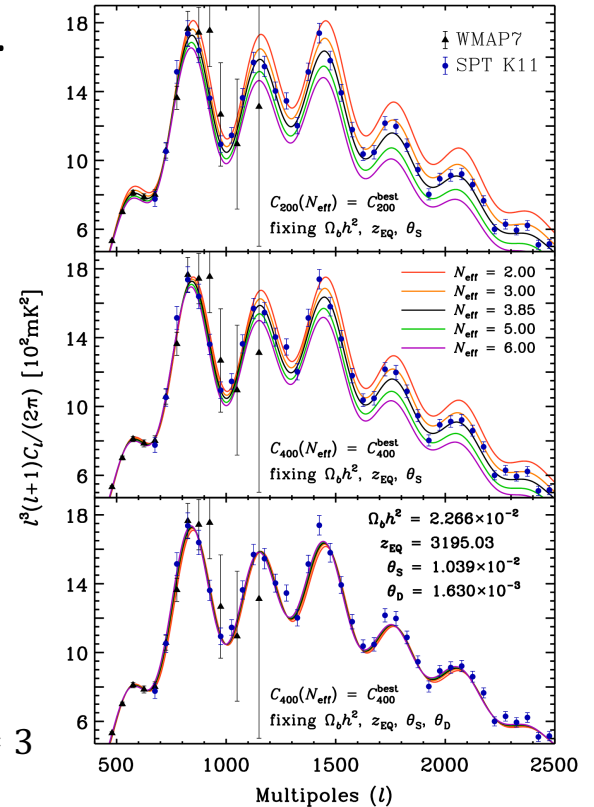
Planck TTTEEE  
+lowE+lensing+BAO;  
7-parameters

$$N_{\text{eff}} = 2.99 \pm 0.34 \text{ (95\% CL)}$$

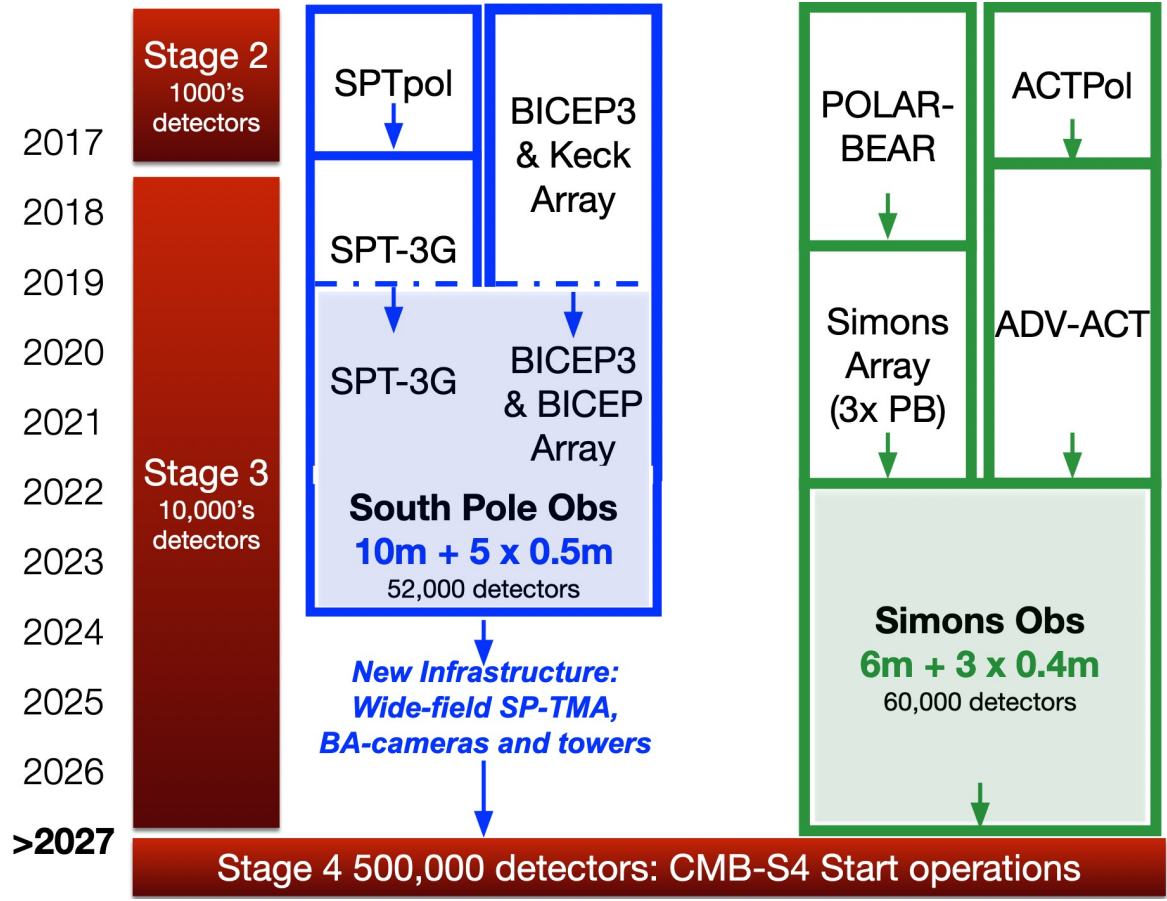
Aghanim et al. [Planck] 2021

Remarkably consistent with Standard Model prediction  $N_{\text{eff}} \approx 3$

Hou, Keisler, Knox, Millea & Reichardt 2013

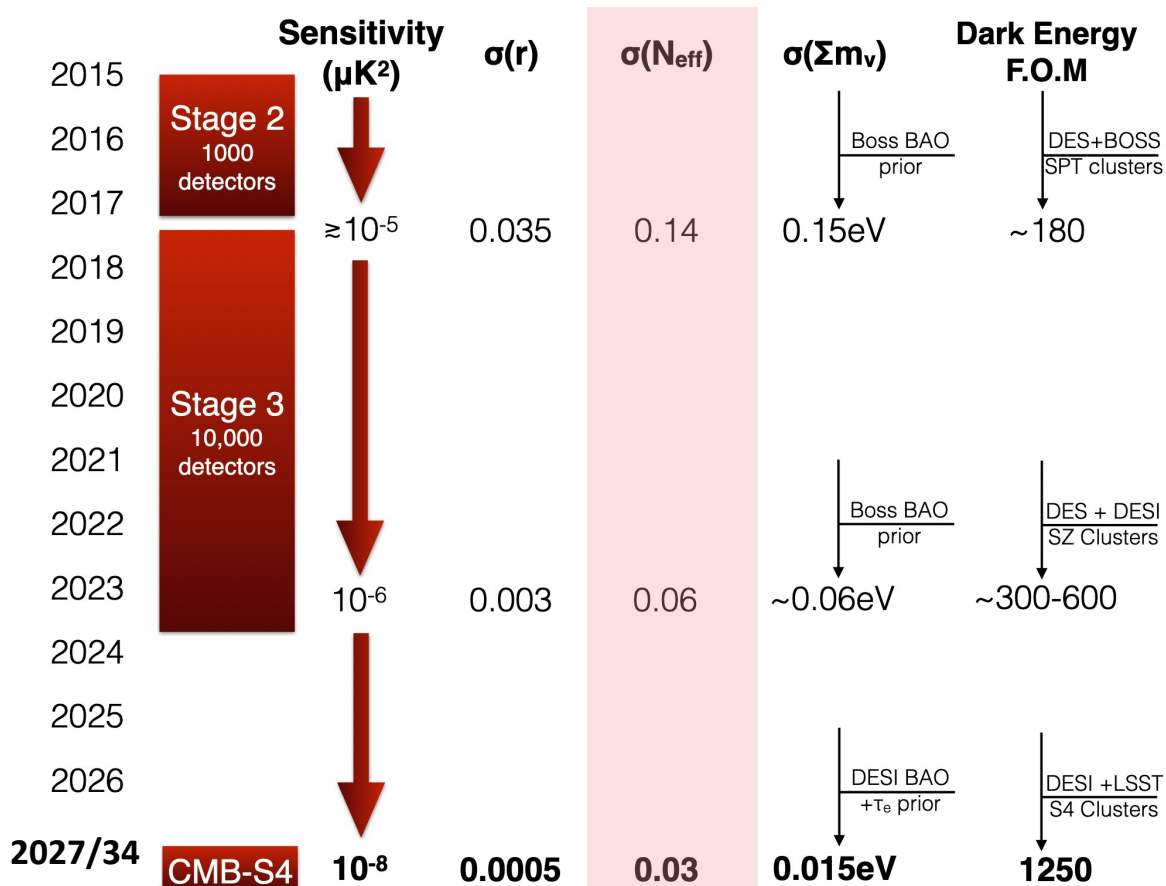


# What to expect in the future?



John Carlstrom

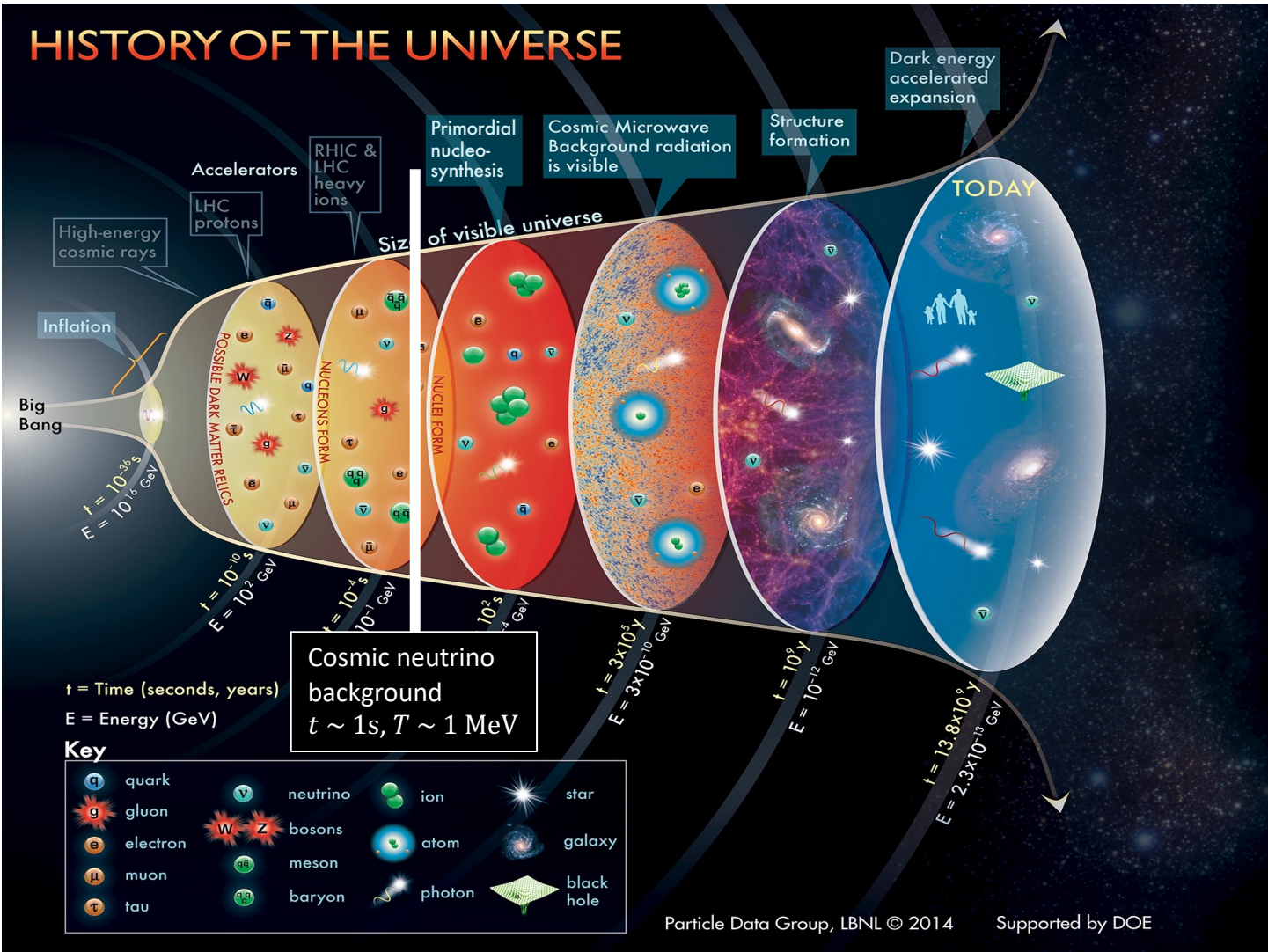
# What to expect in the future?



John Carlstrom

## II. CMB constraints on invisible neutrino decay and the neutrino lifetime...

# HISTORY OF THE UNIVERSE

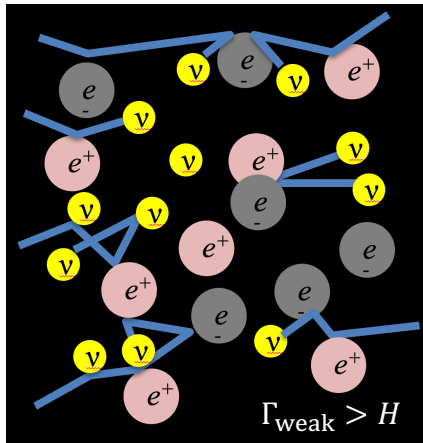


# Formation of the CνB...

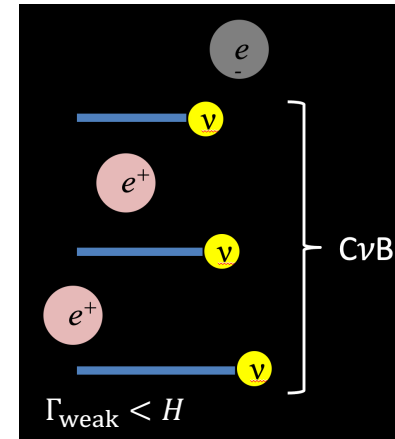
Interaction rate:  $\Gamma_{\text{weak}} \sim G_F^2 T^5$

Expansion rate:  $H \sim M_{\text{pl}}^{-2} T^2$

The CνB is formed when neutrinos **decouple** from the cosmic plasma.



**Above  $T \sim 1 \text{ MeV}$** , even the Weak Interaction occurs efficiently enough to allow neutrinos to scatter off  $e^+e^-$  and other neutrinos, and attain **thermodynamic equilibrium**.



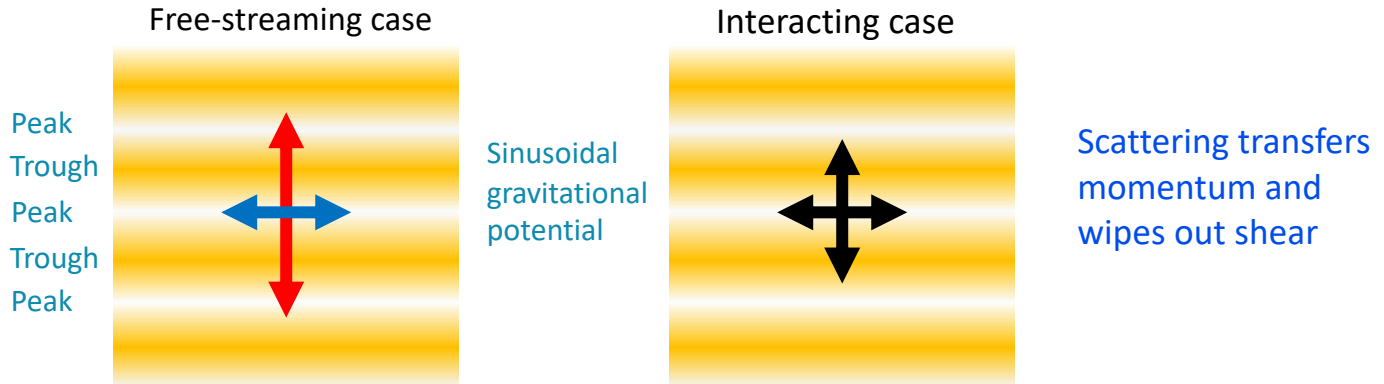
Neutrinos  
"free-stream"  
to infinity.

**Below  $T \sim 1 \text{ MeV}$** , expansion dilutes plasma, and reduces interaction rate: the universe becomes **transparent to neutrinos**.

# Neutrino free-streaming...

Standard Model neutrinos free-stream.

- Free-streaming in a spatially inhomogeneous background induces **shear stress (or momentum anisotropy)**.
- Conversely, **interactions** transfer momentum and, if sufficiently efficient, can **wipe to out shear stress**.



# Neutrino free-streaming & the metric...

**Neutrino shear stress** (or lack thereof) leaves distinct imprints on the spacetime **metric perturbations**.

Scale factor  $\rightarrow$   $ds^2 = a^2(\tau)[-(1 + 2\psi)d\tau^2 + (1 - 2\phi)dx^i dx_i]$  Conformal Newtonian gauge

where  $k^2(\phi - \psi) = 12\pi G a^2(\bar{\rho} + \bar{P})\sigma$

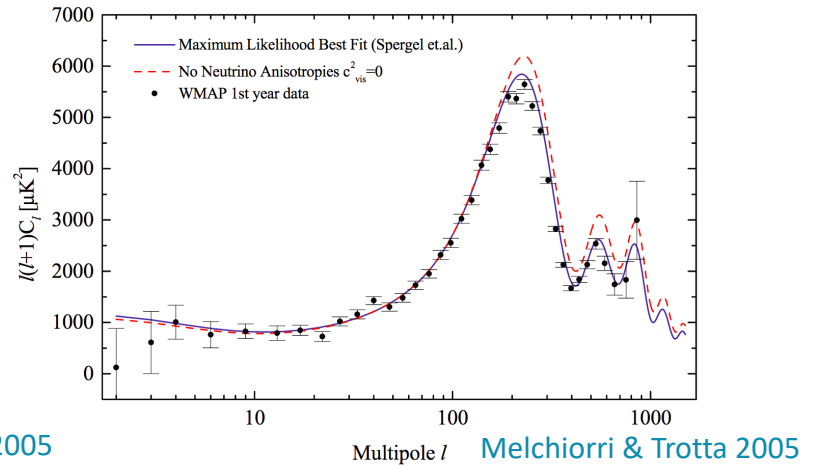
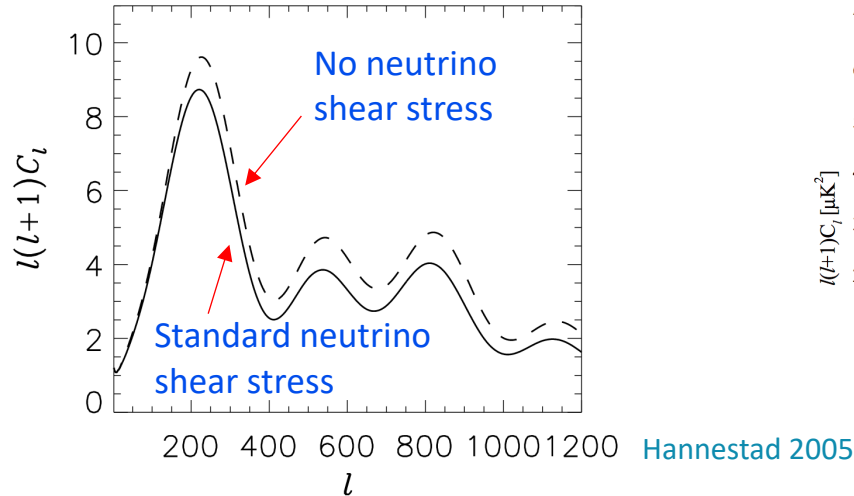
Mean energy density & pressure  $\rightarrow$  Shear stress  $\leftarrow$   
In  $\Lambda$ CDM, mainly from ultra-relativistic neutrinos and photons.

- Changes to  $(\phi - \psi)$  affect the evolution of CMB perturbations and are observable in the **CMB TT power spectrum**.
- Good probe of **neutrino interactions around CMB formation times** ( $t \sim 400$  kyr) when the CνB still constitutes a substantial fraction of the relativistic energy density.



# Neutrino free-streaming & the CMB...

That **CMB prefers neutrino shear stress to no shear stress** is well known.



- The tricky part is, how do you translate this preference to constraints on the **fundamental parameters** of a non-standard neutrino interaction?
  - What is the **isotropisation timescale** given an interaction?

# Isotropisation timescale...

Given an interaction Lagrangian, the isotropisation timescale is calculable.

- Write down the **Boltzmann equation**:

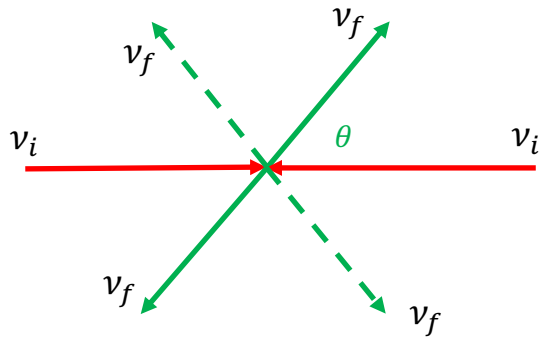
$$\begin{aligned}
 P^\mu \frac{\partial f_i}{\partial x^\mu} - \Gamma_{\rho\sigma}^\nu P^\rho P^\sigma \frac{\partial f_i}{\partial P^\nu} &= \frac{1}{2} \left( \prod_j^N \int g_j \frac{d^3 \mathbf{n}_j}{(2\pi)^3 2E_j(\mathbf{n}_j)} \right) \left( \prod_k^M \int g_k \frac{d^3 \mathbf{n}_k}{(2\pi)^3 2E_k(\mathbf{n}_k)} \right) \\
 &\times (2\pi)^4 \delta_D^{(4)} \left( p + \sum_j^N n_j - \sum_k^M n'_k \right) |\mathcal{M}_{i+j_1+\dots+j_N \leftrightarrow k_1+\dots+k_M}|^2 \\
 &\times [f_{k_1} \cdots f_{k_N} (1 \pm f_i)(1 \pm f_{j_1}) \cdots (1 \pm f_{j_N}) - f_i f_{j_1} \cdots f_{j_N} (1 \pm f_{k_1}) \cdots (1 \pm f_{k_M})]
 \end{aligned}$$

- Decompose in a Legendre series
- The **damping rate of the quadrupole** ( $\ell = 2$ ) moment is the **isotropisation rate**.

Tedious stuff, but this is really the only correct way to calculate these things, else you can get it very wrong...  
 However, the result can usually be understood in simple terms. → **Next slide**

# Isotropisation from self-interaction...

Consider a 2-to-2 scattering event  $v_i + v_i \rightarrow v_f + v_f$ .



→ Particles in two head-on  $v_i$  beams need only scatter once to transfer their momenta equally in all directions.

- The probability of  $v_f$  emitted at any angle  $\theta$  is the same for all  $\theta \in [0, \pi]$ .



$$T_{\text{isotropise}} \sim 1/\Gamma_{\text{scattering}}$$

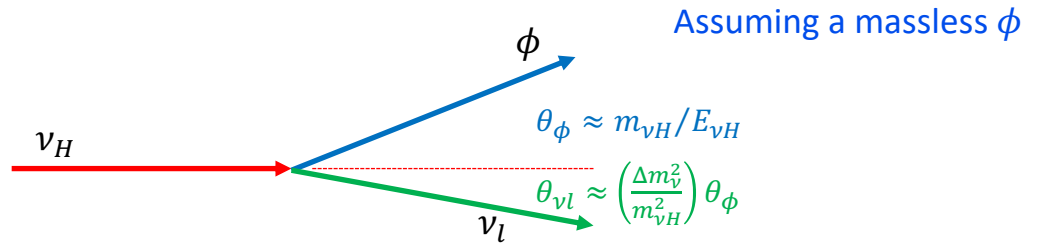
Scattering rate

Cyr-Racine & Sigurdson 2014; Oldengott, Rampf & Y<sup>3</sup>W 2015;  
Lancaster, Cyr-Racine, Knox & Pan 2017; Oldengott, Tram, Rampf & Y<sup>3</sup>W 2017;  
Kreisch, Cyr-Racine & Dore 2019; Forastieri et al. 2019; etc.

# Isotropisation from relativistic (inverse) decay...

How long does it take  $\nu_H \rightarrow \nu_l + \phi$  and its inverse process to wipe out momentum anisotropies? (Hint: it's not the rest-frame lifetime of  $\nu_H$ .)

- In relativistic decay, the decay products are **beamed**.



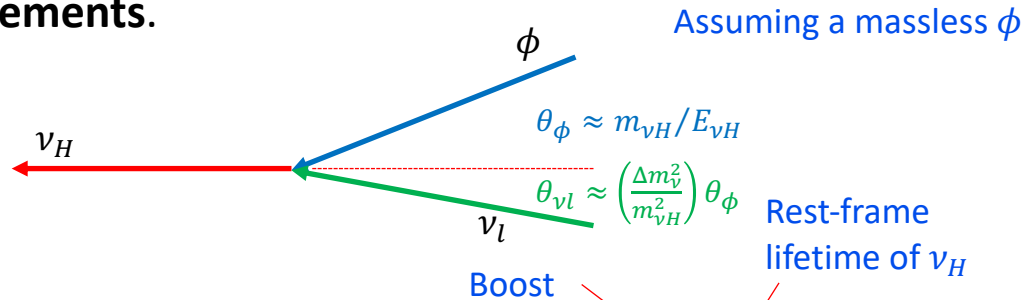
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- In relativistic decay, the decay products are **beamed**.
- Inverse decay also only happens when the daughter particles meet **strict momentum/angular requirements**.



→ Isotropisation is a **loooong process**:



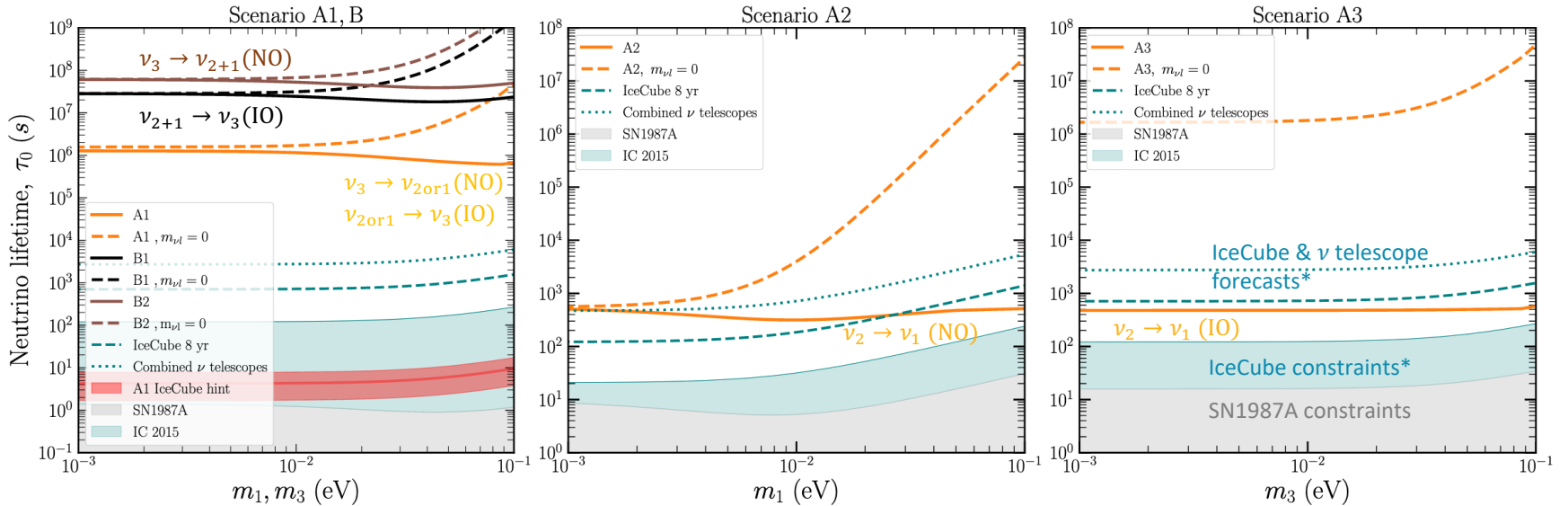
$$T_{\text{isotropise}} \sim (\theta_\phi \theta_{\nu_l})^{-2} \gamma_{\nu_H} \tau_{\text{rest}} \gtrsim 400 \text{ kyr}$$

Barenboim, Chen, Hannestad, Oldengott, Tram & Y<sup>3</sup>W 2021  
Chen, Oldengott, Pierobon & Y<sup>3</sup>W 2022

→ Lower bound on  $\tau_{\text{rest}}$  as a function of  $m_{\nu_H}$  and  $m_{\nu_l}$ .

# CMB lower bounds on the neutrino lifetime...

Mass-spectrum consistent constraints on invisible neutrino decay  $\nu_H \rightarrow \nu_l + \phi$ .

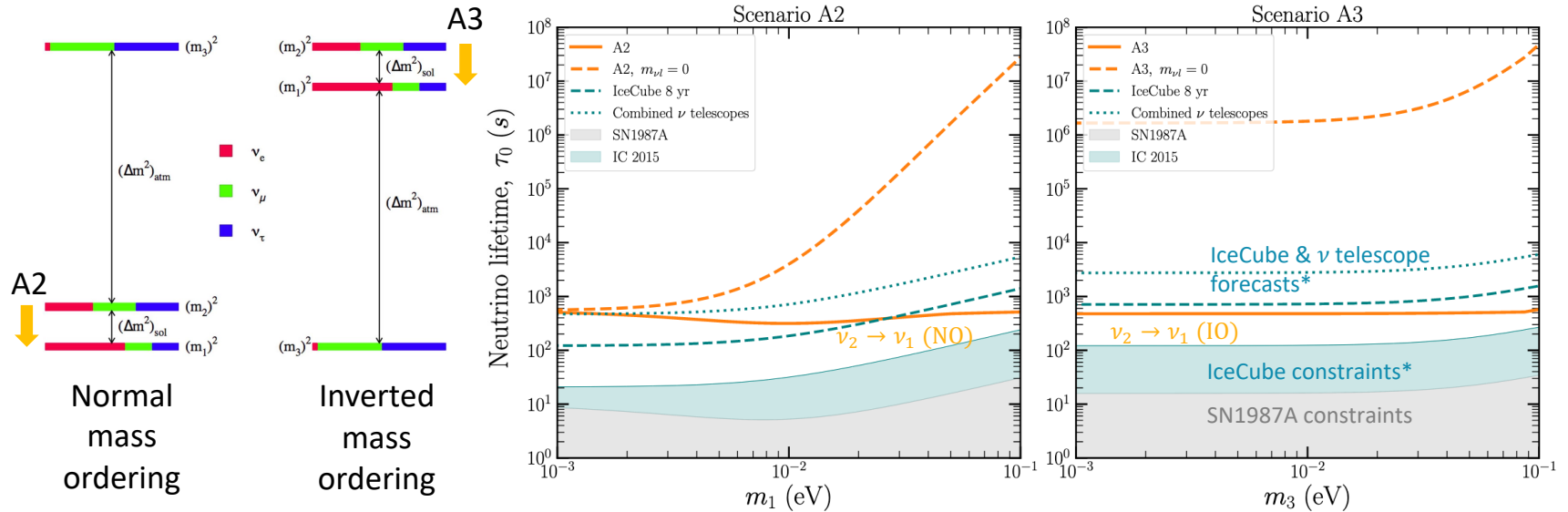


Chen, Oldengott, Pierobon & Y<sup>3</sup>W 2022

\* IceCube constraints & forecasts from Song et al. 2021

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- If  $\nu_2 \rightarrow \nu_1 + \phi$ , then neutrino telescopes and CMB **probe the same parameter space**.

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# Summary...

**Cosmology** offers an interesting way to test **Standard Model and Beyond-the-Standard-Model particle physics**.

- In some cases, the same particle physics is also being probed or searched for at terrestrial experiments.
- Cosmological constraints on these scenarios from, e.g., BBN, CMB, etc., therefore provide an **important cross-check** on our understanding of both particle physics and cosmology.
- Here I have talked about
  - Light thermal relics (e.g., thermal ALPs, light sterile neutrinos)
  - Invisible neutrino decay and constraints on the neutrino lifetime