### Entropy in the early universe

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Cosmology from Home 2021

## Outline

- 1. Motivation
- 2. Reversible cosmology
- 3. Entropic forces in mechanics
- 4. Entropic forces in General Relativity
- 5. Non-equilibrium cosmology
- 6. Conclusions

#### 1. Motivation

- General Relativity is a time-reversible theory.
- Most of the universe expansion history is adiabatic, but there are a few nonequilibrium epochs.
- Irreversible phenomena are not included in General Relativity in a complete and systematic way.

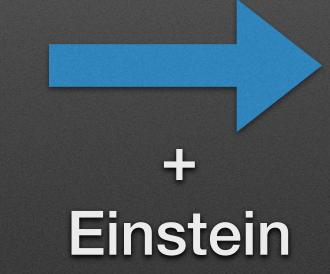
## 2. Reversible cosmology

Homogeneous and isotropic universe

$$ds^{2} = -dt^{2} + a^{2}(t) \left( \frac{dr^{2}}{1 - kr^{2}} + r^{2}d\Omega_{2}^{2} \right)$$

Filled with a perfect fluid

$$T_{\mu\nu} = (\rho + p)u_{\mu}u_{\nu} + pg_{\mu\nu}$$



Friedmann equations

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho \quad \text{(F1)}$$

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p)$$
 (F2)

## 2. Reversible cosmology

What can we say about this perfect fluid? Energy conservation:

$$D_{\mu}T^{\mu\nu} = 0 \to \dot{\rho} + 3H(\rho + p) = 0$$

But this also arises from the second law of thermodynamics

$$T\frac{dS}{dt} = \frac{d}{dt} \left(\rho a^{3}\right) + p\frac{d}{dt} \left(a^{3}\right) = 0$$
Internal energy
Change

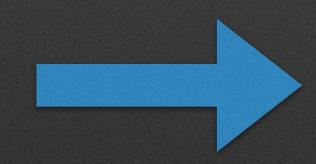
Which is true only in equilibrium

More generally 
$$T \frac{dS}{dt} \ge 0$$

## 2. Reversible cosmology

Should we go beyond adiabatic cosmology?

Continuity equation becomes 
$$\dot{\rho} + 3H(\rho + p) = \frac{T\dot{S}}{a^3}$$

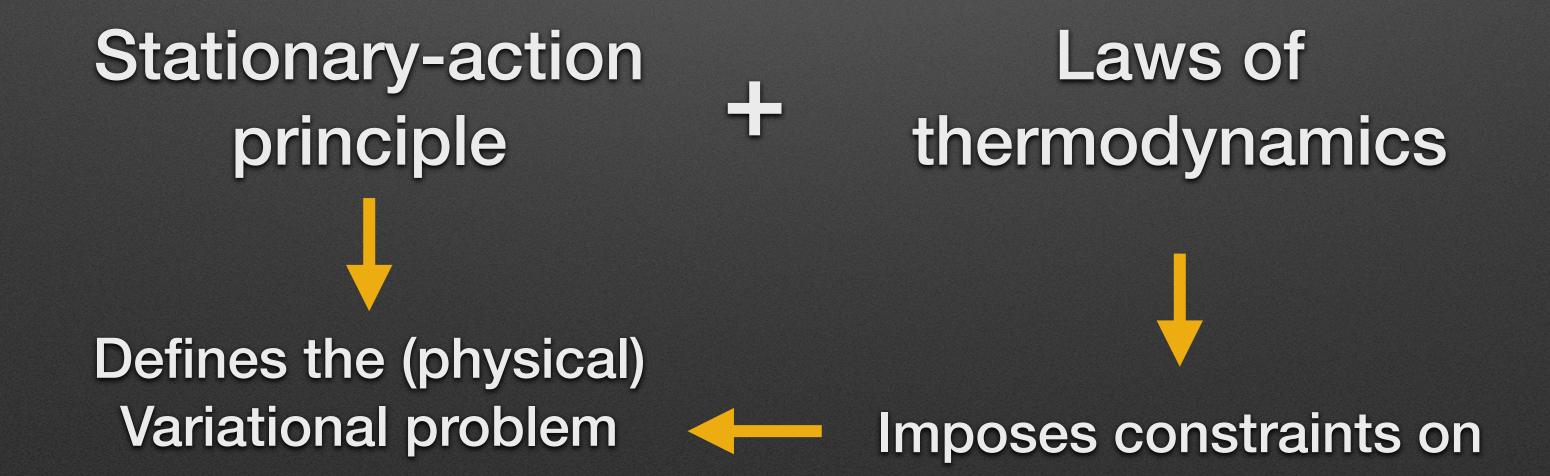


Non-equilibrium F2 
$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} \left( \rho + 3p - \frac{T\dot{S}}{a^3 H} \right)$$

# 3. Entropic forces in mechanics

Can we make the previous statement more rigorous?

We will use the variational formulation of non-equilibrium thermodynamics (Gay-Balmaz & Yoshimura, 2017)



## 3. Entropic forces in mechanics

Consider a simple mechanical system

$$\mathcal{S} = \int dt L(q, \dot{q}, S) \to \delta \mathcal{S} = \int dt \left( \left( \frac{\partial L}{\partial q} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} \right) \delta q + \frac{\partial L}{\partial S} \delta S \right)$$

Add the constraint

$$\frac{\partial L}{\partial S} \delta S = f(q, \dot{q}) \delta q$$

Constrained equation of motion

$$\frac{\partial L}{\partial q} - \frac{d}{\partial t} \frac{\partial L}{\partial \dot{q}} = f(q, \dot{q})$$

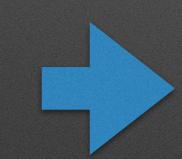
Friction or Entropic force

## 3. Entropic forces in mechanics

But what is  $f(q, \dot{q})$ ?

It needs to be obtained from the phenomenological constraint

$$\frac{\partial L}{\partial S} \dot{S} = f(q, \dot{q}) \dot{q}$$



$$\frac{\partial L}{\partial S}\dot{S} = f(q,\dot{q})\dot{q} \qquad \qquad \qquad \text{Usually } \frac{\partial L}{\partial S} = -T \text{ so } f(q,\dot{q})\dot{q} \leq 0$$

Dynamics is modified



Symmetry under time reversion is broken

Let us extend this formalism to General Relativity

$$\mathcal{S} = \frac{1}{2\kappa} \int d^4x \sqrt{-g} R + \int d^4x \mathcal{L}_m(g_{\mu\nu}, s)$$

$$\delta \mathcal{S} = \int d^4x \left( \frac{1}{2\kappa} \frac{\delta(\sqrt{-g}R)}{\delta g^{\mu\nu}} + \frac{\delta \mathcal{L}_m}{\delta g^{\mu\nu}} \right) \delta g^{\mu\nu} + \int d^4x \frac{\delta \mathcal{L}_m}{\delta s} \delta s$$

Add the constraint

$$\frac{\delta \mathcal{L}_m}{\delta s} \delta s = \frac{1}{2} \sqrt{-g} f_{\mu\nu} \delta g^{\mu\nu}$$



Non-equilibrium Einstein field equation

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = \kappa \left( T_{\mu\nu} - f_{\mu\nu} \right)$$
 Friction or Entropic force

What do we know about this friction tensor  $f_{\mu\nu}$  ?

Bianchi identities 
$$\longrightarrow$$
  $D^{\mu}T_{\mu\nu}=D^{\mu}f_{\mu\nu}$ 

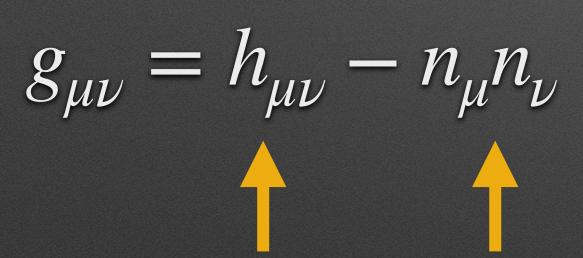
Not much more so far... we need a proper notion of time evolution.

Arnowitt, Deser and Misner (1959)

Let us work in the ADM formalism Proper notion of time evolution



(3+1) splitting of space-time into constant time hypersurfaces  $\Sigma_t$ 



Induced Normal metric on  $\Sigma_t$  vector to  $\Sigma_t$ 

Initial value problem with  $\left(h_{ij},\dot{h}_{ij}\right)$  at  $t_0$ 

Equivalently

$$ds^{2} = -(Ndt)^{2} + h_{ij}(dx^{i} + N^{i}dt)(dx^{j} + N^{j}dt)$$

In the ADM formalism

Einstein field equation



Hamilton evolution equations

Canonical conjugate momentum  $\Pi^{ij}=rac{\partial\mathscr{L}}{\partial\dot{h}_{ij}}$  and Hamiltonian  $\mathscr{H}=\Pi^{ij}\dot{h}_{ij}-\mathscr{L}$ **Evolution equations** 

$$\frac{\partial \mathcal{H}}{\partial \Pi^{ij}} = \dot{h}_{ij}$$

$$\frac{\delta \mathcal{H}}{\delta h_{ij}} = -\dot{\Pi}^{ij} - 2\kappa \frac{\delta \mathcal{L}_m}{\delta h_{ij}} - \kappa N \sqrt{h} \tilde{f}^{ij}$$

Entropic term  $\tilde{f}_{ij} = h^{\mu}_{\ i} h^{\nu}_{\ j} f_{\mu\nu}$ 

$$\kappa N \sqrt{h} \tilde{f}^{ij}$$

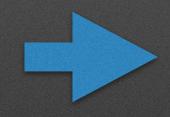
Constraints

$$\frac{\partial \mathcal{H}}{\partial N} = 0 \quad \frac{\partial \mathcal{H}}{\partial N^i} = 0$$

And now what is  $ilde{f}_{ij}$  ?

Phenomenological constraints

$$\frac{\partial \mathcal{L}}{\partial s} \pounds_n s = \frac{1}{2} N \sqrt{h} \tilde{f}_{ij} \pounds_n h^{ij}$$



Internal entropy production

$$\pounds_n s = \pounds_n s^{tot} - \nabla_i j_s^i$$



Entropy balance equation

Temperature and entropy from the matter content

Mechanical system

$$L(q, \dot{q}, S) = E_K(q, \dot{q}) - U(q, S)$$
  $\longrightarrow$   $T = -\frac{\partial L}{\partial S} = \frac{\partial U}{\partial S}$ 

Hydrodynamical matter

$$\mathcal{L} = -\sqrt{-g}\rho(g_{\mu\nu}, s) \qquad \qquad T = -\frac{1}{\sqrt{-g}}\frac{\partial \mathcal{L}}{\partial s} = -\frac{\partial \rho}{\partial s}$$

T and S from the gravity sector assciated to a horizon H with induced metric h

$$\mathcal{S}_{GHY} = \frac{1}{8\pi G} \int_{H} d^{3}y \sqrt{h} K = \frac{1}{8\pi G} \int_{H} dt \sin\theta d\theta d\phi \sqrt{h} K$$

$$T_{BH} = \frac{\hbar c^{3}}{8\pi GM}$$
• Schwarzschild black hole

Schwarzschild black hole

Schwarzschild black hole 
$$S_{GHY} = \frac{1}{2} \int dt \, Mc^2 = - \int dt \, T_{BH} S_{BH} \qquad S_{BH} = \frac{Ac^3}{4G\hbar} = \frac{4\pi G M^2}{\hbar c}$$

 $\hbar$  signals an underlying quantum description, but  $T_{BH}S_{BH}$  is classical

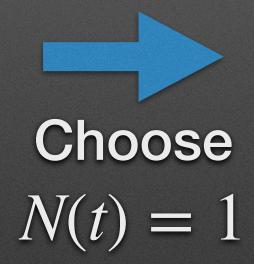
# 5. Non-equilibrium cosmology

#### Homogeneous and isotropic universe

$$ds^{2} = -N(t)dt^{2} + a^{2}(t)\left(\frac{dr^{2}}{1 - kr^{2}} + r^{2}d\Omega_{2}^{2}\right)$$

Filled with a fluid with

$$\rho = \rho(a, S) \quad p = p(a, S)$$



Hamilton constraint

$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{k}{a^2} = \frac{8\pi G}{3}\rho$$

Hamilton equation

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) + \frac{4\pi G}{3}\tilde{f}$$

with 
$$\tilde{f} = h^{ij}f_{ii}$$

# 5. Non-equilibrium cosmology

From the phenomenological constraint

Term of entropic origin

$$\tilde{f} = \frac{T\dot{S}}{a^2\dot{a}} \qquad \qquad \frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) + \frac{4\pi G}{3}\frac{T\dot{S}}{a^2\dot{a}}$$

Non-equilibrium F2

Entropy production
(P)reheating, phase transitions, black hole formation...

Cosmic acceleration

## 6. Conclusions

- The laws of thermodynamics modify the dynamics of any physical system and break time reversibility.
- The Lagrangian and Hamiltonian formulations of General Relativity can be modified to include the second law of thermodynamics.
- In Cosmology, this means the appearance of an additional term of entropic origin in the second Friedmann equation.
- The effect of non-adiabatic phenomena in the expansion history of the universe should be revisited.