

# Perturbation theory in Inflation and beyond

Giovanni Tambalo  
AEI Potsdam

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With M. Celoria, P. Creminelli and V. Yingcharoenrat

[arXiv:2103.09244 + ]



Cosmology From Home 2021

- Perturbation theory (PT) in Inflation
- Why going beyond PT
- Example in Quantum Mechanics
- The case of Inflation
- Conclusions & future directions

# Slow-roll Inflation

- Inflation: period of early acceleration

- Inflaton  $\phi$  rolls down its potential.

Approximate de Sitter expansion:

$$ds^2 = \frac{-d\eta^2 + d\mathbf{x}^2}{\eta^2}$$

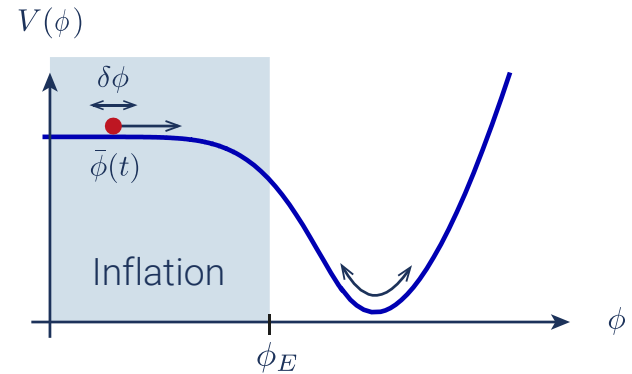
- Curvature perturbations  $\zeta$  freeze outside of the horizon for  $\hbar \neq 0$

$$h_{ij} = a^2 [e^{2\zeta} \delta_{ij} + \gamma_{ij}] , \quad \langle \zeta_{\vec{k}} \zeta_{-\vec{k}} \rangle' = \frac{P_\zeta}{k^3}$$

- At CMB scales the typical fluctuations are

$$P_\zeta \equiv H^2 / (2\epsilon M_{\text{Pl}}^2) \sim 10^{-10}, \quad \zeta \sim 10^{-5}$$

↑ Power spectrum



# Perturbation theory

Statistics of  $\zeta$  is almost perfectly **Gaussian**, with corrections characterized by  $\langle \zeta^3 \rangle$ ,  $\langle \zeta^4 \rangle$

- Corrections to Gaussianity for  $\zeta \sim P_\zeta^{1/2}$  (typical fluctuations)

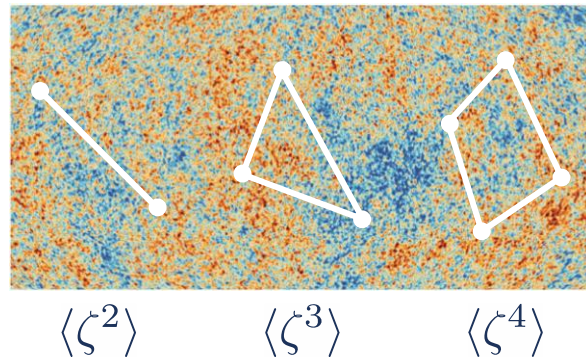
$$\frac{\langle \zeta^3 \rangle}{P_\zeta^2} \zeta \sim f_{\text{NL}} \zeta \sim f_{\text{NL}} P_\zeta^{1/2} \ll 1$$

$$\frac{\langle \zeta^4 \rangle}{P_\zeta^3} \zeta^2 \sim g_{\text{NL}} \zeta^2 \sim g_{\text{NL}} P_\zeta \ll 1$$

(Planck and LLS bounds)  $\lesssim 10^{-3}$

- Inflationary correlators are thus reliably computed in perturbation theory: (in-in formalism)

$$\langle \hat{Q}(\eta) \rangle = \langle 0 | \bar{T} e^{i \int_{-\infty(1-i\epsilon)}^\eta \hat{H}_{\text{int}}^I(\eta') d\eta'} \hat{Q}^I(\eta) T e^{-i \int_{-\infty(1-i\epsilon)}^\eta \hat{H}_{\text{int}}^I(\eta'') d\eta''} | 0 \rangle$$



# Why going beyond PT

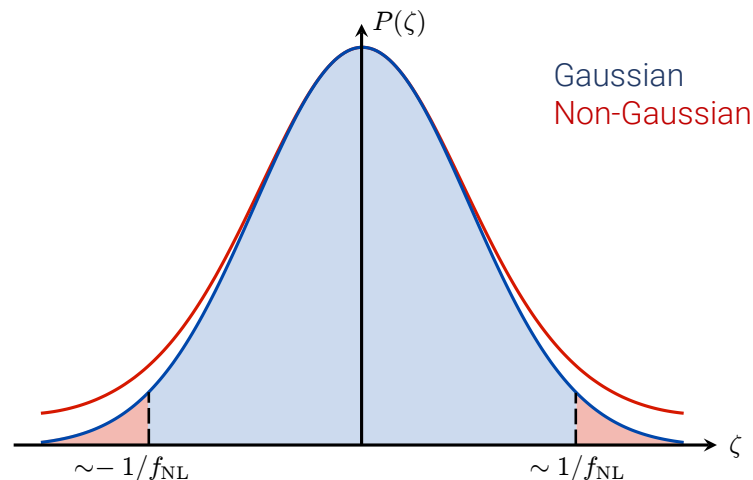
- PT computes corrections close to the peak of the probability distribution  $P(\zeta)$

It breaks down on the tails  $f_{\text{NL}}\zeta \sim 1$

$$P(\zeta) \sim \exp \left[ -\frac{\zeta^2}{2P_\zeta} + \frac{\langle \zeta^3 \rangle}{P_\zeta^3} \zeta^3 + \frac{\langle \zeta^4 \rangle}{P_\zeta^4} \zeta^4 + \dots \right]$$

$$\sim \exp \left[ -\frac{\zeta^2}{2P_\zeta} \left( 1 + \frac{\langle \zeta^3 \rangle}{P_\zeta^2} \zeta + \frac{\langle \zeta^4 \rangle}{P_\zeta^3} \zeta^2 + \dots \right) \right]$$

Corrections depend on the size of  $\zeta$



- This regime can be relevant for the abundance of rare objects:  
**Primordial Black Holes, CMB spots ecc..**

BH mass fraction  
at formation

$$\beta(M) = \int_{\zeta_c}^{\infty} P(\zeta) d\zeta, \quad \zeta_c \sim 1$$

Relevant for models of inflation  
with large NGs, such as k-inflation.  
In slow-roll instead  
 $f_{\text{NL}} \sim \mathcal{O}(\epsilon, \eta) \ll 1$

# How to go beyond PT

The tail of the distribution is amenable to a semiclassical calculation

- For  $\hbar \rightarrow 0$  fluctuations go to zero: intuitively this limit describes **unlikely events**

$$\Psi[\zeta_0(\vec{x})] = \int_{\text{BD}}^{\zeta_0(\vec{x})} \mathcal{D}\zeta e^{iS[\zeta]/\hbar}, \quad S[\zeta]/\hbar \gg 1$$

Wavefunction of the Universe  $|\Psi[\zeta]|^2 = P(\zeta)$

- This is the **semiclassical regime**

$$\Psi[\zeta_0(\vec{x})] \sim e^{iS[\zeta_{c1}]/\hbar}$$

- We can see this explicitly in an example in QM: tails of the wavefunction cannot be described in PT

# Semiclassical wavefunction in QM

- Consider a particle with position  $x(t)$  in a potential well with potential  $V(x)$   
We are interested in the ground state wavefunction  $\Psi_0(x_f)$

- After rotating to **Euclidean time**  $t \rightarrow -i\tau$ , the ground state can be written as a path integral ( $T \equiv \tau_f - \tau_i$ )

$$\Psi_0(x_f) \Psi_0^*(x_i) e^{-E_0 T} = \lim_{T \rightarrow \infty} \int_{x(\tau_i)=x_i}^{x(\tau_f)=x_f} \mathcal{D}x(\tau) e^{-S_E[x(\tau)]/\hbar}$$

↑ Selects the trajectory with  $E = 0$

- For large  $x_f$  we are on a tail of the wavefunction. The action is large: **semiclassical limit holds**

$$\Psi_0(x_f) \Psi_0^*(x_i) e^{-E_0 T} \sim e^{-S_E[x_{cl}(\tau)]/\hbar} \quad S_E = \int_{\tau_i}^{\tau_f} \left[ \frac{1}{2} m \dot{x}^2 + V(x) \right] d\tau$$

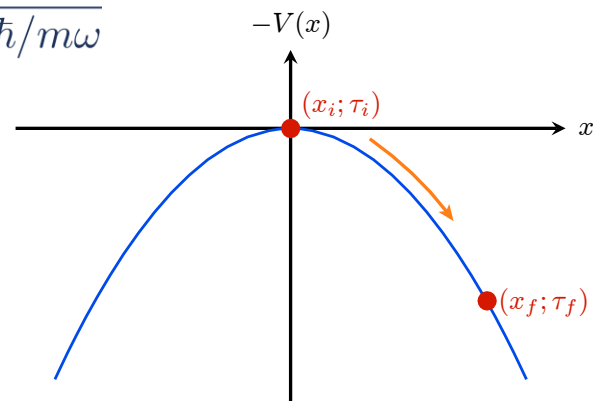
- Wavefunction can be obtained from a “classical” trajectory connecting the initial and final point in an **inverted potential**

# Wavefunction for an anharmonic oscillator

- Example:  $V(x) = \hbar\omega \left[ \frac{1}{2} \left( \frac{x}{d} \right)^2 + \lambda \left( \frac{x}{d} \right)^4 \right]$ ,  $d \equiv \sqrt{\hbar/m\omega}$

Small parameter

- The semiclassical parameter  $\bar{x}^2 \equiv 2\lambda x_f^2/d^2$  can become large, so PT breaks down when  $\bar{x}^2 \sim \mathcal{O}(1)$



- Because of **energy conservation** ( $E = 0$ ) the action is easy to find

$$\frac{S_E[x(\tau)]}{\hbar} = \frac{1}{\hbar} \int_{\tau_i}^{\tau_f} m\dot{x}^2 d\tau = \frac{1}{6\lambda} \left[ (1 + \bar{x}^2)^{3/2} - 1 \right] \quad \text{Non-perturbative result in } \lambda$$

- The wavefunction has the form

$$\Psi_0(\bar{x}) = \mathcal{N} \exp \left\{ -\frac{1}{6\lambda} \left[ (1 + \bar{x}^2)^{3/2} - 1 \right] + f(\bar{x}) + \lambda g(\bar{x}) + \dots \right\}$$

One-loop correction

Two loops



# Wavefunction for Inflation

- For Inflation, we consider a model where nonlinearities are dominated by a single term

$$S = \int d^3x d\eta \left\{ \frac{1}{2\eta^2 P_\zeta} \left[ \zeta'^2 - (\partial_i \zeta)^2 \right] + \frac{\lambda \zeta'^4}{4! P_\zeta^2} \right\} \quad [\text{Senatore, Zaldarriaga, '11}]$$

- Standard perturbation theory: expansion in  $\lambda \ll 1$

- The (classical) nonlinear parameter is  $\tilde{\zeta}_0 \equiv \lambda^{1/2} \zeta_0 / P_\zeta^{1/2}$  Value of  $\zeta$  at late times  
(analogous to  $\bar{x} \equiv 2\lambda x_f^2 / d^2$  in QM)

- **Semiclassical expansion:** expansion in  $\lambda$  with  $\tilde{\zeta}_0$  arbitrary.

The on-shell action thus scales as

$$S = \frac{1}{\lambda} F(\tilde{\zeta}_0)$$

# Wavefunction for Inflation

- The EoM in **Euclidean** ( $\eta \rightarrow -i\tau$ ) is

$$\zeta'' - \frac{2}{\tau}\zeta' + \nabla^2\zeta + \frac{\lambda}{2P_\zeta}\tau^2\zeta'^2\zeta'' = 0$$

- We solve the EoM numerically for different BCs

$$\zeta(\tau_i, \vec{x}) = 0, \quad \zeta(\tau_f, \vec{x}) = \zeta_0(\vec{x})$$

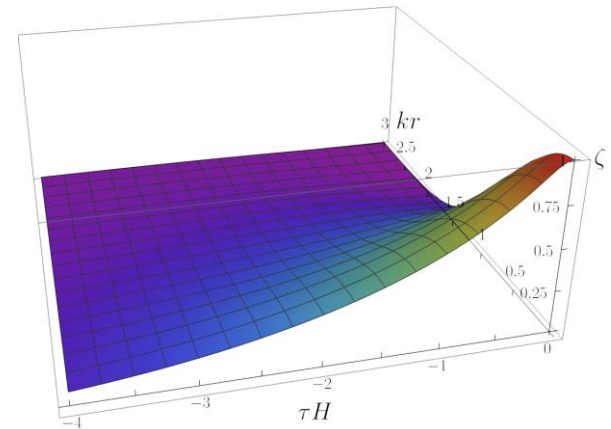
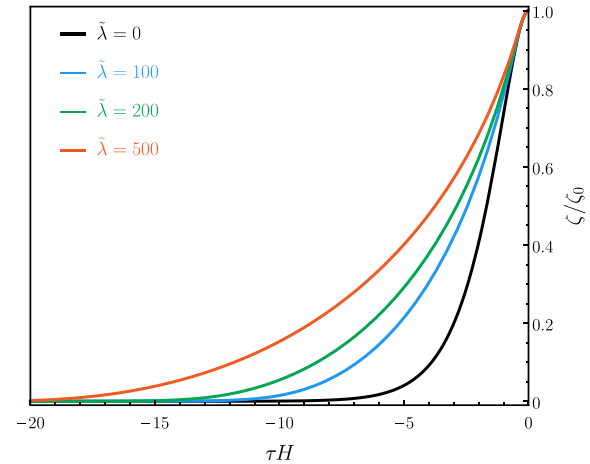


Large value

- We also need to fix the late-time configuration as a function of  $\vec{x}$
- We choose a gaussian profile at late times

$$\zeta_0(\vec{x}) = \zeta_0 e^{-k^2 r^2}$$

$$\tilde{\lambda} = \lambda\zeta_0^2/P_\zeta$$



# Wavefunction for Inflation

- After obtaining the solution, we can evaluate the Euclidean action
- The **free action** contains **divergences** at late times that we need to subtract:

$$\zeta_{\text{cl}}(\vec{k}, \tau) = \zeta_0(\vec{k}) \frac{(1 - k\tau)e^{k\tau}}{(1 - k\tau_f)e^{k\tau_f}}$$

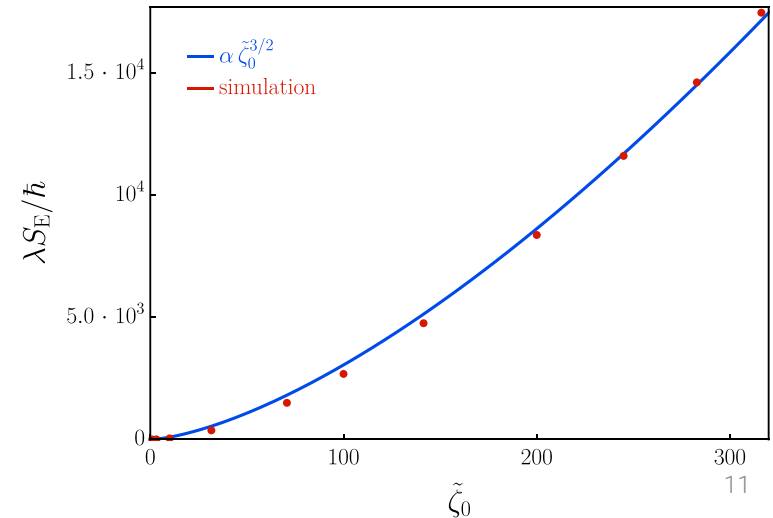
Divergent for  $\tau_f \rightarrow 0$  [Maldacena, '03]  
 Corresponds to a phase in Lorentzian  
 (irrelevant for the probability distribution)

$$S_E = - \frac{1}{2P_\zeta} \int \frac{d^3k}{(2\pi)^3} \frac{1}{\tau_f^2} \zeta_{\text{cl}}(-\vec{k}, \tau) \partial_\tau \zeta_{\text{cl}}(\vec{k}, \tau) \Big|_{\tau=\tau_f} \simeq \int \frac{d^3k}{(2\pi)^3} \frac{1}{2P_\zeta} \left( \frac{k^2}{\tau_f} + k^3 + \dots \right) \zeta_0(-\vec{k}) \zeta_0(\vec{k})$$

- In the nonlinear case, after subtracting the divergent part, we can numerically evaluate the action and get

$$S_E \sim \frac{1}{\lambda} \tilde{\zeta}_0^{3/2}$$

$$\Psi[\zeta_0] \sim \exp \left[ -\frac{1}{\lambda} \tilde{\zeta}_0^{3/2} \right]$$



# Conclusions and future directions

## Conclusions:

- We studied the tails of the probability distribution for  $\zeta$  at late times
- In this regime usual PT breaks down. However, a semiclassical approach is possible
- We studied numerically this problem in a simple model by first rotating to Euclidean time

## Future directions:

- This method can be applied to different models with large NGs (k-inflation, DBI, ecc..)
- More systematic study of PBH formation in these models

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Thank you for listening